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Standardizing Mammogram Screening in Primary Care:

Integrating an Evidence Based Approach

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## Abstract

**Background:** Mammogram screening for surveillance and detection of breast cancer has long been recognized as a preventative health measure in primary care for women. However, easily recognizing when to screen individual women, based on age and risk factors, lacks clear guidance and often ends in fragmented and inconsistent practices among providers.

**Methods:** By developing and implementing the Screening Mammogram Initiation Protocol (SMIP), the desired goals of this project were to: a) align breast cancer screening recommendations to better meet individualized patient needs in primary care utilizing best practice guidelines, b) increase the rate of breast cancer screening across various demographics, and c) create a standardized screening protocol tool that increases shared decision making based on individual risk factors. Outcome measures were reflected by an increase in provider knowledge towards female breast health counseling, an increase in rates of breast cancer screening discussions, and the successful implementation of the SMIP at a local primary care clinic in Oakland, California.

**Results:** Responses from the pre/post knowledge and post-implementation surveys showed improved provider understanding of the current breast cancer screening guidelines for average and high-risk females as well as 90% satisfaction utilizing the SMIP in practice. Electronic data collection after nine-weeks showed an eight percent increase (64% to 72%) in referrals for women aged 40-49 eligible for breast cancer screening and seven percent increase (73% to 80%) in referrals for women aged 50-59 eligible for breast cancer screening.

**Conclusion:** This evidence-based change in practice project ultimately improved the quality of care by enhancing preventative health delivery, contribute to increased screening, and likely impact breast cancer morbidity, and mortality rates.

**Keywords:** *Breast cancer, breast cancer screening, mammography, age factors, risk factors, benefits, and harms.*

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## Section II: Introduction

### Problem Description

In the United States, breast cancer continues to be the second most common cancer diagnosis in females, and the second leading cause of cancer death in women (ACS, 2019; Centers for Disease Control and Prevention [CDC], 2018). It is estimated, that in 2019, 268,600 new diagnoses of breast cancer will occur, and 10% of those new cases will be found in women under the age of 45 (ACS, 2019; CDC, 2018; Surveillance, Epidemiology, and End Results Program [SEER], n.d.). Of women under 45, breast cancer is most commonly found in the African American race who also experience the overall highest death rate associated with breast cancer in comparison to White, Asian, Pacific Islander, Native American, Hispanic, and Non-Hispanic women (Breastcancer.org, 2019; SEER, n.d.). Women with a first-degree relative (mother, sister, daughter) with history of breast cancer have a near two-fold increased risk of developing breast cancer (Breastcancer.org, 2019). The lifetime breast cancer risk for women who have a BRCA1 or BRCA2 gene mutation is 72% and 69%, respectively and is more prevalent in the younger population (Breastcancer.org, 2019). One in eight women will develop breast cancer in their lifetime, 15% will have an associated first-degree relative, and 5-10% will be related to a genetic mutation (ACS, 2019; Breastcancer.org, 2019).

### Overview of the Current Guidelines

The recommendations for initiating breast cancer screening (BCS) with a mammogram, the frequency with which to continue monitoring, and the screening discontinuation age differ among reputable organizations and professional societies (Table 1).

**Table 1:**

Breast Cancer Screening Guidelines
------------------------------------



Group	Age to Initiate Mammograms	Frequency (years)	Age to Discontinue Mammograms	Shared Decision Making Approach
ACOG <sup>1</sup>	40* No later than 50	1-2*	75, anything beyond is provider-patient discussion	*Recommendation for initiating the BCS screening process to start at age 40. The age to begin mammography requires an informed decision based on provider-patient discussion about the potential risks, benefits, and patient values/preferences.
ACR <sup>2</sup>	40	1	Life expectancy <5-7 years or if no further intervention planned regardless of imaging results	Screening considerations should include educating women of the risks, benefits, and limitations of screening to help the patient make an informed decision.
ACS <sup>3</sup>	40-44 (Qualified Recommendation)  45 (Strong Recommendation)	1: ages 40-44 if starting (Qualified Recommendation)  1: ages 45-54 (Qualified Recommendation)  1-2: age $\geq$ 55 (Qualified Recommendation)	Life expectancy <10 years (Qualified Recommendation)	A qualified recommendation is interpreted as the health care professional will provide education on the different options and help the patient consider their values/preferences to make an informed decision.
NCCN <sup>4</sup>	40	1	Limited life expectancy and no further intervention planned regardless of imaging results	Recommendations for screening also encourages counseling women on the potential risks, benefits, limitations of mammography, and consider the patient's values/preferences. Annual screening offers the opportunity for updating BC risk assessments, modifiable risk reduction counseling, review of red-flag symptoms, and option for clinical breast exams.

USPSTF <sup>5</sup>	40-49 (Grade C: Selectively Recommend)	2 (Grade B: Recommend)	74 (Grade B: Recommend)	Grade C implies women can choose the option to initiate screening between ages 40-49 years if they value the potential benefits of BCS mammography greater than the potential risks.
	50 (Grade B: Recommend)			

Adapted from:

**1. American College of Obstetrics and Gynecologists (ACOG)**

The American College of Obstetricians and Gynecologists. (2017). *ACOG practice bulletin: Clinical management guidelines for obstetrician-gynecologists* Retrieved from <https://www.acog.org/Clinical-Guidance-and-Publications/Practice-Bulletins/Committee-on-Practice-Bulletins-Gynecology/Breast-Cancer-Risk-Assessment-and-Screening-in-Average-Risk-Women?IsMobileSet=false>

**2. American College of Radiology (ACR)**

Lee, C. H., Dershaw, D. D., Kopans, D., Evans, P., Monsees, B., Monticciolo, D., ... Burhenne, L. W. (2010). Breast cancer screening with imaging: Recommendations from the society of breast imaging and the ACR on the use of mammography, breast MRI, breast ultrasound, and other technologies for the detection of clinically occult breast cancer. *Journal of the American College of Radiology*, 7(1), 18-27. doi:10.1016/j.jacr.2009.09.022

Monticciolo, D. L., Newell, M. S., Hendrick, R. E., Helvie, M. A., Moy, L., Monsees, B., . . . Sickles, E. A. (2017). Breast cancer screening for average-risk women: Recommendations from the ACR commission on breast imaging. *Journal of the American College of Radiology*, 14(9), 1137-1143. doi:10.1016/j.jacr.2017.06.001

**3. American Cancer Society (ACS)**

Oeffinger, K. C., Fontham, E. T. H., Etzioni, R., Herzig, A., Michaelson, J. S., Shih, Y. T., . . . Wender, R. (2015). Breast cancer screening for women at average risk: 2015 guideline update from the American cancer society. *Jama*, 314(15), 1599-1614. doi:10.1001/jama.2015.12783

**4. National Comprehensive Cancer Network (NCCN)**

Bever, T. B., Helvie, M., Bonaccio, E., Calhoun, K. E., Daly, M. B., Farrar, W. B., . . . Kumar, R. (2018). Breast cancer screening and diagnosis, version 3.2018, NCCN clinical practice guidelines in oncology. *Journal of the National Comprehensive Cancer Network : JNCCN*, 16(11), 1362-1389. doi:10.6004/jnccn.2018.0083

**5. United States Preventive Services Task Force (USPSTF)**

Siu, A. L. (2016). Screening for breast cancer: U.S. preventive services task force recommendation statement. *Annals of Internal Medicine*, 164(4), 279. doi:10.7326/M15-2886

The United States Preventive Services Task Force (USPSTF) currently recommends biennial mammograms for females starting at age 50 and continuing until age 74 (Siu, 2016). For women ages 40 to 49, the USPSTF recommends selective screening based on individual factors, given a lower net benefit of avoided breast cancer deaths versus harm (Nelson et al., 2016a; Sui, 2016). In this case, harm is defined as overdiagnosis and overtreatment. However, it is difficult to quantify if evaluation and treatment of the diagnosed breast cancer found on imaging would “become a threat to a woman’s health, or even apparent, during her lifetime” (Siu, 2016, p.280,

para 2). The USPSTF proposes these guidelines based using a meta-analysis using absolute rates that out of every 10,000 women aged 40-49 screened, there would be three fewer breast cancer deaths, in comparison to eight fewer deaths for women aged 50-59, and 21 fewer deaths in women aged 60-69 who had routine screening (Nelson et al., 2016a). This data implies younger women completing routine mammograms experience less breast cancer related deaths than older women, which would be expected since the incidence averages of breast cancer diagnosis and death in the United States are ages 62 and 68 (SEER, n.d.). The USPSTF's analysis of BCS effectiveness regards breast cancer mortality reduction as the primary positive outcome across all ages, as all-cause mortality was not found statistically significant, and the incidence of advanced breast cancer diagnosis based on screening was only found reduced for women ages 50 or older, but not for women ages 39-49 (Nelson et al., 2016a).

The USPSTF categorizes women who are considered average risk as those without personal or familial breast cancer history, without known BRCA1 or BRCA2 gene mutation, and without a history of radiation therapy to the chest at a young age (Sui, 2016). The USPSTF recognizes women with familial breast cancer history or possible genetic BRCA1 or BRCA 2 mutations at higher risk of developing breast cancer and may benefit from initiating screening earlier than 50 years (Nelson et al., 2016a; Sui, 2016). The USPSTF has a "B" recommendation for screening women for BRCA mutations and referral for genetic counseling should occur starting at age 18 and re-assessed "periodically" (Moyer, 2014, p. 274, para 2). However, no further recommendations addressing the frequency of BRCA mutation screening is offered. Screening recommendations for potentially high-risk women include those with familial members with "breast, ovarian, tubal, or peritoneal cancer," in addition to a positive screening result from one screening risk model (FHS-7, Manchester Scoring System, Ontario Family

History Assessment, Pedigree Assessment Tool, Referral Screening Tool) (Moyer, 2014, p. 271, para 4). Females with risk factors or whom may experience significant familial medical history changes over time may miss opportunities of being identified for early detection screening without concrete recommendations.

In contrast, the National Comprehensive Cancer Network (NCCN) and American College of Radiology (ACR) recommend annual mammogram screening to start at age 40 with discontinuation dependent upon limited life expectancy (from factors such as co-morbidities, age) determined by provider discretion and if no further interventions (i.e. additional imaging, biopsies, breast cancer treatment) were to be pursued regardless of mammogram imaging results (Bever et al., 2018; Lee et al., 2010; Monticciolo et al., 2017).

American College of Obstetrics and Gynecologists (ACOG) (2017) advises women should be counseled on BCS and be offered the choice to start mammograms at age 40 (but no later than age 50) determined through a shared-decision between the patient and provider. American Cancer Society (ACS) recommends annual screening mammogram starting at age 45 until age 54 (with individually tailored screening between the ages of 40-44), then every one to two years starting at age 55 onwards, until life expectancy is less than 10 years (Oeffinger et al., 2015).

The NCCN and ACR advocate for annual screening starting at age 40 because this age group has the largest potential to experience the benefits of breast cancer mortality reduction, improved survival rates, and better breast cancer treatment options (Bever et al., 2018; Lee et al., 2010). Per the ACS (2017a), estimated breast cancer death rates for women ages 40-49 were 9%, 19% for women ages 50-59, 23% for women ages 60-69, 20% for women ages 70-79, and the highest occurrence of 27% in women aged 80 and above. The ACS's recommendation to

start mammograms at age 45 is based on quality evidence ratings found in their conducted systematic review evaluating the harms and benefits of BCS in 5-year intervals (Oeffinger et al., 2015). ACS advocates for introducing the discussion for BCS at age 40 to identify women at higher risk that would benefit from mammography earlier than age 45 (Oeffinger et al., 2015).

ACOG (2017) endorses counseling for BCS starting at age 40, with the option to start mammography because evidence from the ACS and USPSTF reviews found women receiving mammograms between ages 40-49 experience quantifiable breast cancer mortality reduction (Nelson et al., 2016a; Oeffinger et al., 2015). ACOG (2017) also supports a patient's decision to defer beginning mammograms until age 50, because determining an appropriate balance between harm versus benefit is subjective and should incorporate the patient's priorities and beliefs. Overall these guidelines propose patient involvement through a shared decision making approach, suggesting that BCS may not fit a standardized "one size fits all" approach.

There is general consensus amongst ACOG, ACR, ACS, and NCCN that women with average risk of developing breast cancer are those without personal or familial breast/ovarian cancer history, genetic predisposition for suspected or known gene mutations, or have a history of ionizing radiation exposure to the chest at a young age (10-30 years) (ACOG, 2017; ACS, 2017a; Bevers et al., 2018; Daly et al., 2017; Lee et al., 2010). Depending on the risk factor, women may benefit from earlier screening mammograms, additional imaging modalities, and genetic counseling. Identifying and following women who may not have initial risk factors, but develop them over time should be a part of a routine assessment, and re-evaluated regularly for best management of comprehensive BCS practices. Other breast cancer risk factors identified among these organizations include dense breast tissue, history of DCIS or LCIS, benign breast disorders, women of Ashkenazi Jewish decent, nulliparity or first pregnancy after age 35, early

menarche (age 11 or younger), late menopause (after 55 years), never having breastfed, post-menopausal combination hormone therapy, and post-menopausal high bone mineral density (ACOG, 2017; ACS, 2017a; Lee et al., 2010). Environmental and behavioral influences contributing to breast cancer include alcohol consumption, tobacco smoking, obesity, diethylstilbestrol exposure, and working night shifts (ACOG, 2017; ACS, 2017a).

The lack of uniform BCS guidelines or a standardized decision toolkit for when to implement screening has caused inconsistent practices in primary care, potentially affecting outcomes for all women, including those at average or high risk. This difference in clinical practice ultimately impacts the opportunity to optimally screen and re-screen women for breast cancer in early detection, secondary, or tertiary care.

### **Current Practice and Insurance Coverage**

Initiatives C-17 and C-18.1 from Healthy People 2020 aims for a 10% improvement in the proportion of women ages 50-74 who receive BCS (target of 81.1%), and are counseled by their providers about mammograms (target of 76.8%) (Office of Disease Prevention and Health Promotion, 2018a). Data from the 2015 National Health Interview Survey indicates that only 71.6% of females aged 50-74 years in the United States receive BCS, and only 66.7% are counseled by their providers about screening mammograms (Office of Disease Prevention and Health Promotion, 2018a). The suboptimal screening rate shows a large percentage of the female population was not offered screening for early detection, which can be a life-saving preventative service. Conflict in recommendations among the ACOG, ACR, ACS, NCCN, and the USPSTF regarding screening women under the age of 50, suggests there is a greater gap of missed opportunities for providers to offer high-quality, comprehensive care to their patients.

Radhakrishnan et al. (2017) conducted a national survey of primary care providers about their BCS practices, and found that physicians primarily trusted BCS recommendations from ACS, ACOG, and USPSTF. Each of these guidelines endorses different initial screening ages, ongoing screening frequency, and age at which to discontinue mammography. In this study, 81% of physicians reported offering BCS mammography to women aged 40-44, 88% to women aged 45-49, and 67% offered mammography to women 75 or older (Radhakrishnan et al., 2017). These varying practices among providers represent the lack of a clear algorithm or screening process that incorporates all the best evidence-based recommendations to provide high quality care for optimal breast health. Furthermore, rescreening guideline practices fluctuate from annually to biennially between organizations and for the ACS, the frequency changes from one to two years after age 55. Radiology reports of the testing agencies performing the mammograms often follow the ACR guidelines, which offers recommendation for annual screening for women of all ages. In some cases, patients may be elicited back for routine annual follow-up by the testing agency, which may directly conflict with the chosen practice guidelines of the primary care institution. This implicates a potential for further confusion among primary care clinics selecting a standard institutional recommendation to follow, as well as lack of clarity for providers in navigating appropriate care for each female patient.

The current BCS practice that is most widely used, is the USPSTF guideline. The Centers for Medicare and Medicaid Services (CMS) utilize the recommendations to establish the standard protocol and evaluate core measures of quality health outcomes (Kaiser Family Foundation, 2018). To date, Medicare Part B completely covers annual mammography screening starting at 40, however, Medicaid BCS coverage is dependent on the Affordable Care Act (ACA) criteria for that state (Kaiser Family Foundation, 2018). Many private and individual insurance

plans fall under the ACA provisions that utilize the USPSTF's grade "A or B" and Health Resources and Services Administration (HRSA) practice recommendations (Kaiser Family Foundation, 2018). The USPSTF grade "C" recommendation (stance originally published in 2009 and again in 2016) to selectively offer certain women to undergo mammography ages 40-49, reflected in 2009 that not all health insurances were required to provide full insurance coverage consequently creating a barrier of accessibility to screen for women in this age range (Sui, 2016). In attempt to reduce this health disparity, in 2012 the Department of Health and Human Services (DHHS) implemented a provision requiring the ACA to use the 2002 USPSTF recommendations for BCS that entail a women can receive mammography starting at age 40 every one to two years with or without clinical breast exams (National Women's Law Center, 2013). This provision remains enacted due to the passing of the Comprehensive Omnibus Funding law in 2015 (Sui, 2016). HRSA (2018) also updated its recommendation guidelines in 2016 founded upon the Women's Preventive Services Initiative (organized by ACOG) and follow the same ACOG proposals. BCS is a preventative health service that should be offered to any women seeking this care. Lack of insurance coverage or potential costs associated should not interfere with universal preventative screens or prohibit a women's access to BCS services.

**Epidemiology.** Worldwide, breast cancer is the most common cancer in females for both the developed and underdeveloped countries (World Health Organization [WHO], 2018). With estimates of 508,000 deaths due to breast cancer in 2011, 50% of cases and 42% of those deaths occurred in developed countries. In the North and South Americas, there is a 25.2% incidence of breast cancer in women of all ages and a 15.1% occurrence of death related to breast cancer (Global Cancer Observatory, 2018). By 2030, it is projected in the North and South Americas



there will be an additional 572,000 new breast cancer cases and 130,000 deaths with the United States leading in breast cancer incidence (Global Cancer Observatory, 2018).

In 2015, the United States Cancer Statistics Working Group (2018) reported there were a total of 242,476 females diagnosed with breast cancer. Of those diagnosed (Table 2), Caucasian women experience the highest incidence of new breast cancer diagnosis, secondary to African Americans, followed by Asians, Hispanics, and Native American women. While this data captures the diagnosed rates, it is unclear whether the lack of screening contributes to these lower rates among the minority population. This data also captures the age-specific rates of new breast cancer diagnosis from 40-79 years (Table 3). This information reflects a clear escalation in the quantity of women diagnosed with breast cancer as one ages. It is noteworthy that women aged 75-79 experience the second highest rate of new breast cancer diagnosis, but it is an ‘I’ (insufficient) recommendation by the USPSTF due to the lack of high-level evidence (United States Preventative Services Task Force, 2019).

**Table 2:** 2018 Reported Number of females diagnosed with Breast Cancer

Identified Race	Number of Females Diagnosed with Breast Cancer per 100,000
White	125.6
Black	123.3
Asian/Pacific Islander	94.3
Hispanic	93.6
American Indian/Alaskan Native	71.2

United States Cancer Statistics Working Group. (2018). United States cancer statistics: Data visualizations. Retrieved from <https://gis.cdc.gov/Cancer/USCS/DataViz.html>

**Table 3:** 2018 Reported Age Specific Rates of New Breast Cancer Diagnosis

Age	Number of Females with New Breast Cancer Diagnosis per 100,000
-----	--

40-44	126.2
45-49	190.1
50-54	224.8
55-59	262.6
60-64	334.4
65-69	421.2
70-74	461.9
75-79	445.5

United States Cancer Statistics Working Group. (2018). United States cancer statistics: Data visualizations. Retrieved from <https://gis.cdc.gov/Cancer/USCS/DataViz.html>

California's leading cancer diagnosis is female breast cancer (NBCCEDP, 2013). At age 45-65, there is a 1:21 ratio for the probability of female breast cancer diagnosis in California, and 1:14 chance between ages 65-85 (American Cancer Society, 2013). Surveillance mammograms have been identified as an effective screening tool for identifying breast cancer and when done before an individual becomes symptomatic, early stage diagnosis and successful treatment with cure is higher. If breast cancer is found to be localized at the time of diagnosis, the five-year survival rate is 100% (American Cancer Society, 2013).

### Setting

The Federally Qualified Health Center (FQHC) chosen for this doctoral project provides care to women that are American Indians, Alaskan Natives, Black, Asian, Hispanics, and Non-Hispanics of the surrounding Bay Area (Oakland, Alameda, Richmond, and San Francisco). The FQHC in Oakland primarily works with members that lack healthcare coverage, are underserved, and have low socioeconomic status. Disparity factors of educational level, income, occupation, and environmental exposures predispose and contribute to the risk of development of illness, disease, and breast cancer (Office of Disease Prevention and Health Promotion, 2018a). The opportunity to improve BCS coverage for all female members and possibly impact health outcomes compelled the author to collaborate and conduct the project at this clinical site.

From September 2017 to 2018, the race of women at FQHC aged 50-74 years that were eligible for BCS (836 total) were identified (Table 4) as African American women as the highest secondary to Latino or Hispanic women, followed by American Indian/Alaskan Native, Caucasian, Asian, declined to specify/other/unknown, Native American, Native Hawaiian or Pacific Islander, and Middle Eastern or North African. Of this population, compliance rates in alignment with FQHC's current standards that utilizes the USPSTF's guidelines show Native Hawaiian or Other Pacific Islander women with the highest mammogram completions and Asian women with the lowest. Of the nine patient identified races, only Native Hawaiian or Other Pacific Islander women currently meet Healthy People 2020's C-17 objective target of an 81.1% rate of receiving BCS (Office of Disease Prevention and Health Promotion, 2018a). The varying rates of mammogram screening completions reflects an under performance in secondary preventative care despite FQHC's current system of screening. This reveals an opportunity for improvement and understanding of how certain races have higher rates of mammography completion and the barriers preventing those with lower percentages.

**Table 4:** Mammogram Screening Rates Based on FQHC's Current Breast Cancer Screening Guidelines (USPSTF: Biennial Screening for females ages 50-75)

Patient Identified Race	Eligible (Total: 836)	Did Not Receive Mammogram (Total: 237)	Compliance Rate
Native Hawaiian or Other Pacific Islander	30	3	90%
Latino or Hispanic	213	46	78.4%
Declined to specify/Other/Unknown	39	9	76.9%
Native American-Multi-Race	38	10	73.6%
Black or African American	271	75	72.3%
Middle Eastern or North African	18	6	66.6%

White	80	29	63.7%
American Indian/Alaskan Native	85	32	62.3%
Asian	41	21	48.7%

I2I Tracking search conducted for 9/10/17-9/10/2018

In Alameda County, the 2014 reported annual percentage of female breast cancer cases diagnosed at an early stage (localized or in situ) are 76.9% for Non-Hispanic White, 65.9% for African American, 70.4% for Hispanic, and 75.9% for Asian/Pacific Islander females respectively (ACS, 2017b). The actual rates in percent are not available for the following populations: American Indian and Alaskan Native females. However, the Office of Disease Prevention and Health Promotion (ODPHP) suggest that about 53.1% of American Indians and Alaska Natives females are screened with mammograms (Office of Disease Prevention and Health Promotion, 2018c). This is 21.4% lower than the highest group of African American women receiving breast cancer mammography within the last two years (Office of Disease Prevention and Health Promotion, 2018c). Lower screening rates among this population reflect a higher risk for missed routine care and preventative health services. With less access to comprehensive care, these vulnerable populations will consequently suffer larger health disparities.

### **Available Knowledge**

Given all the various guidelines, there remains confusion among providers, about which guideline is best and when to actually start screening. There is considerable debate about the risks of mammography screening causing 'harm' to women in their 40s that could lead to increased false-positives requiring additional imaging, the risk of radiation induced breast cancer from the cumulation of mammography, the potential for overdiagnosis, and additional

psychological stress (Nelson et al., 2016b). The PICOT questions asked to further understand the current evidence, gaps, and risks of age-based routine breast screening are as follows:

- 1) Do guidelines (C) for starting BCS (O) in females (P) vary by age (T) for routine screening mammograms (I)?
- 2) Do the contrasting (C) screening guidelines (I) affect outcomes for breast cancer identification (O) in females (P) aged 40-49 (T)?
- 3) What are the advantages (O) and disadvantages (C) of starting screening early versus late (I), for women aged 40-49 (P)?
- 4) What are the risk factors (I) predisposing women (P) towards developing breast cancer (O) earlier than the general population (C)?

A systematic literature search was conducted in October 2018 and again in March 2019 to effectively evaluate available evidence. The databases searched were CINAHL, Cochrane Database of Systematic Reviews, and PubMed. Keywords and MESH terms included *mammography, age factors, adverse effects, benefits, risk factors, BRCA1/2 mutations, family history, chest radiation outcomes, breast neoplasms, breast cancer, and screening* alone and in combinations. Gray area literature and search engines utilized were ACOG, ACR, ACS, CDC, NCCN, UpToDate, and USPSTF. Reference lists of preliminary research articles were scanned for articles that could be considered for further review. Inclusion criteria were: articles published between 2008-2019 for the most current data and existing practices recommended to the public and health care providers regarding BCS, and articles written only in the English language. A total of 136 articles were found, 21 were selected for further consideration based on the inclusion criteria, and 8 were included in this review. Articles excluded did not further clarify and answer the reviews aim in evaluating best practice management of BCS for women aged 40-49. Multiple

studies that were reviewed included meta-analysis', systematic reviews, prospective/retrospective studies, and practice guideline recommendations. The evidence was evaluated using the John Hopkins Research and Non-Research Evidence Appraisal Tools (2012a, 2012b) and rated for quality (Appendix C). The articles presented in this paper range from Level I A to III C.

### **Review of Literature**

After thorough examination of the current evidence, the leading arguments of the potential risks and benefits of BCS practices are described to help educate the author and readers to make informed, comprehensive decisions about breast health. The themes of possible disadvantages towards screening women ages 40-49 are false-positive recalls from mammography, false-positive readings leading to biopsy, overdiagnosis of breast cancer leading to potential unnecessary treatment, psychological stress, and mammography related radiation risk. Beneficial themes of screening women ages 40-49 reviewed are earlier stage of breast cancer diagnosis, decreased breast cancer related mortality, increased number of lives saved from routine BCS, and the potential of years of life gained from earlier detection (Appendix C).

#### **Disadvantages to Breast Cancer Screening with Mammography (for women aged 40-49)**

**False-Positives.** The potential risk for women receiving a false-positive finding from a mammogram that is truly negative for breast cancer has been documented as a considerable factor that can influence the individual decisions towards BCS. Pace and Keating (2014), the USPSTF, and the ACS systematic reviews demonstrate a 61.3% (95% CI) risk of receiving at least one false-positive finding over 10-years for women who started annual screening at age 40, and 41.6% (95% CI) respectively for those continuing with biennial screening (Nelson et al., 2016b; Oeffinger et al., 2015). However, comparable estimates for false positives in women

starting mammogram screening in their 50s were discovered at 61.3% (95% CI) annually and 42% biennially (Nelson et al., 2016b; Oeffinger et al., 2015; Pace & Keating; 2014). This evidence shows women in their 40s will experience near identical estimates of false-positive recalls compared to those in their 50s regardless of the screening interval. The Van den Ende et al. (2017) systematic review examined the effects of BCS for only women aged 40-49 and found a 20.5% cumulative risk of experiencing a false-positive finding within the first seven mammograms. This finding suggests there is possibly lower rates of false-positives findings indicating some variability for women aged 40-49 than reported by the USPSTF, ACS, and Pace and Keating (2014) systematic reviews. The decision to choose whether this disadvantage outweighs to begin mammogram screening during age 40 or 50 should be the choice of the individual undergoing the intervention rather than the standardized guidelines produced by governing institutions.

Myers et al. (2015) found false-positive mammograms leading to biopsy recommendations for a first time screen increased with age (OR 1.40 aged 40-44, 1.75 for 50-54 years, and 1.75 for 55-59 years). Over the course of ten years, cumulative estimates of biopsy recommendation from false-positive mammograms exhibit a 7% (95% CI) increased risk during annual screening for women in their 40s versus a 9.4% risk for women in their 50's (Myers et al., 2015; Nelson et al., 2016b; Oeffinger et al., 2015). During biennial screening, the risk for false positives for women in their 40's was 4.8% versus 6.4% for women in their 50s (Myers et al., 2015; Nelson et al., 2016b; Oeffinger et al., 2015). These results show that women in their 50's carry a higher risk for false positives than women in their 40's regardless of annual or biennial screening. This suggests the need to avoid the risk for false-positive findings with follow-up recommendation for additional imaging or biopsy remains consistent at any age, and should not

be a limiting factor with beginning screening at age 40 versus 50. Factors that could contribute and affect false positive rates include breast density, type of imaging modality utilized, postmenopausal hormone therapy, timing of first mammogram, interval rescreen rate, and lack of comparison mammogram images (Nelson et al., 2016b; Oeffinger et al., 2015). Modifiable and non-modifiable risk factors are not discussed with this study and could impact these results.

**Overdiagnosis.** Overdiagnosis might be considered the greatest harm for a woman obtaining mammogram imaging. Overdiagnosis is defined as the diagnosis of “cancer with a screening test (such as a mammogram or PSA test) that will never cause any symptoms. These cancers may just stop growing or go away on their own” (National Cancer Institute, n.d.). The ACS, USPSTF, Myers et al. (2015), Pace and Keating (2014), and van den Ende et al. (2017) systematic reviews state overall predication estimates for breast cancer overdiagnosis range widely from 0-54% due to varying measures such as the BCS practices utilized, disease incidence with or without screening, inclusion or exclusion of ductal carcinoma in situ, and lead time adjustments (described as the time gained before cancer incidence from early detection) (Nelson et al., 2016b; Oeffinger et al., 2015). Furthermore, no one individual has the same underlying predisposing risk factors, behavioral influences, values, socioeconomic status, and access to resources. Due to the variability in estimating the impact of breast cancer overdiagnosis from mammograms, Myers et al. (2015) and Oeffinger et al. (2015) determined the quality of quantifiable data to be low. The ACS, USPSTF, Myers et al. (2015), Pace and Keating (2014), and van de Ende et al. (2017) share the understanding that it is difficult to quantify the impact of overdiagnosis because there lacks a clear consensus on the best approach of how to measure and evaluate this outcome (Nelson et al., 2016b; Oeffinger et al., 2015). Without demonstrating



sound quality evidence in the rates of overdiagnosis related to BCS, it is difficult to synthesize this factor as an influence toward guideline recommendation and provider-to-patient counseling.

**Psychological Impact.** The USPSTF considers and accounts for the potential negative psychological impact, that mammography screening may have on women, as a ‘harm’ to BCS screening (Nelson et al., 2106b). USPSTF found that women who had negative mammogram results experienced less anxiety and distress than those with other outcomes (Nelson et al.,2016b). Mixed results were reported and not found significant among for women not returning to their next scheduled mammogram after experiencing a previous false-positive result (Nelson et al., 2016b). Pace and Keating (2014) suggest that women with false-positive findings experienced higher levels of situational distress but did not elicit the diagnosis of major depressive or anxiety disorder. Evaluating the extent of individualized concern caused by factors from potential negative outcomes of screening is a subjective finding that is balanced differently for each patient and difficult to translate across a general population.

**Mammography Radiation Risk.** There are currently no studies that directly measure the risk of cumulative mammography radiation induced breast cancer (Nelson et al., 2016b; van den Ende et al., 2017). Rather, the USPSTF reports modeling studies predicted women aged 40-59 years experienced 11 per 100,000 radiation induced breast cancer deaths and 2 per 100,000 for women aged 50-59 (Nelson et al., 2016b). ACOG (2017) states 125 of 100,000 women receiving annual mammography ages 40-74 were diagnosed with radiation induced breast cancer from mammograms that resulted in 16 deaths, but 968 breast cancer deaths were averted by screening. These estimates elude that the possibility of avoiding multiple breast cancer deaths by decreasing radiation exposure, may outweigh the potential risks of mammography to screen for cancer and save other lives.

**Advantages of Screening (for women aged 40-49)**

Surveillance mammograms have been identified as an effective screening tool for identifying breast cancer and when done before an individual becomes symptomatic, early stage diagnosis and successful treatment with cure is higher. If breast cancer is found to be localized at the time of diagnosis, the five-year survival rate is 98.8% (SEER, 2018). Screening findings with advanced cancer stages, has a five-year survival rate of 27.4% (SEER, 2018).

**Earlier Diagnosis Stage.** Mammograms conducted in women aged 40-49 offer the opportunity to begin provider-to-patient education sooner, diagnose breast cancer earlier, treat more successfully, and reduce overall mortality (Shen et al., 2011). A 10-year retrospective study comparing women aged 40-49 with mammography (145) and non-mammography (166) detected breast cancer exhibited smaller average tumor diameter size (20.68 mm versus 30.38 mm;  $p < 0.0001$ ), less sentinel lymph node involvement ( $p < 0.0001$ ), increased 5-year disease free rate (94% versus 71%) and better overall survival estimates (97% versus 78%) (Shen et al. 2011). This statistically significant data demonstrates that females in their 40s who are at average risk for developing breast cancer and receive mammograms earlier than the USPSTF's recommendations have better outcomes for earlier diagnosis, less reoccurrence or metastatic spread, and less mortality rates (Shen et al., 2011). Women ages 45-49 and 50-54 experience the same burden of disease (about 15% of years of life lost per age bracket) (Oeffinger et al., 2015). Even with this knowledge, current practice guidelines conflict and differ amongst each other prohibiting a cohesive standardized decision making model.

**Decreased Mortality.** Reduced breast cancer mortality in women who begin screening with mammography in their 40s is evident across the majority of studies (Magnus et al., 2011; Myers et al., 2015; Nelson et al., 2016a; Oeffinger et al., 2015; Pace and Keating, 2014). Van

den Ende et al. (2017) report in their systematic review of four articles reviewing two randomized controlled trials (the Age trial and the Canadian National Breast Screening Study-I (CNBSS-1)) that there was no statistically significant difference found in breast cancer mortality for women screened ages 40-49 years. However, van den Ende et al. (2017) identifies limitations in both studies where the quality of mammogram technology and radiology interpretation in the CNBSS-1 trial were possibly sub-standard, and in the Age Trial, after the initial mammogram, all subsequent screenings were completed as single view instead of the standard two view screening mammogram. Van den Ende et al. (2017) demonstrates the only non-significant finding concerning breast cancer mortality reduction with screening women routinely in their 40s.

Magnus et al. (2011) meta-analysis found a 17% mortality reduction for women who had screening mammograms between ages 39-49. Myers et al. (2015) and Pace and Keating (2014) systematic reviews found a 15% mortality reduction for women beginning screening less than 50 years. The ACS graded the outcome of reduced breast cancer mortality evidence in women younger than 50 as high (Oeffinger et al., 2015). The 5-year absolute risk of women developing breast cancer at 5-year intervals is: 0.6% during ages 40-44, 0.9% during ages 45-49, and 1.1% during ages 50-54 (Oeffinger et al., 2015). Mortality reduction is clearly evident in women receiving mammograms earlier than 50.

**Lives Saved/Potential Years of Life Gained.** It is undeniable that mammography is the best available diagnostic imaging modality and gold standard for detecting breast cancer (World Health Organization, 2018). Pace and Keating (2014) found in their systematic review that 5 out of every 10,000 women aged 40-49 years, 10 out of every 10,000 women aged 50-59 years, 42 out of every 10,000 women aged 60-69 years will be saved from mammograms. The USPSTF

found biennial mammography for women aged 40-74 gained 152 lifetime years and avoided eight breast cancer deaths per 1,000 versus women aged 50-74 gained 122 total years of life and avoided seven breast cancer deaths (Sui, 2016). The ACR estimates based on their recommended screening strategy, women receiving annual screening ages 40-84 per 1,000, experienced 11.9 BC deaths prevented, and 189 years of life gained (Monticciolo et al., 2017). ACR estimates using the USPSTF's recommendation for biennial mammography from ages 50-74 that 6.95 BC deaths are prevented, and 110 years of life are gained (Monticciolo et al., 2017). Evidence suggests those screened earlier and more frequently have the potential to experience lengthier lives and fewer deaths.

### **Rationale**

**Theoretical/Conceptual Frameworks.** The theoretical framework that will guide this project is Jean Watson's Philosophy and Science of Caring that encompasses the concepts of human being, health, environment, and nursing (Petiprin, 2016). Watson identifies the human being as a unique individual that requires the time, patience, and respect to be understood and valued. She defines health as the physical and mental levels of well-being with efforts towards the absence of disease. The environment focuses on health care professionals and their exposure to socio-cultural experiences that provides opportunity to interact, reflect, and grow. Cultivating personal and professional self-awareness allows for the provider to be present, non-judgmental, and engaging in a caring manner with the patient. Watson's model is parallel to the nursing process in creating and establishing a comprehensive care plan that is holistic in nature.

Watson's framework is grounded in approaching all human interactions in a caring inquiry to promote health while valuing patient autonomy (Petiprin, 2016). These fundamental concepts are what will structure the approach towards communicating and understanding

perceived patient perceptions and values. BCS addresses health as a collaborative process between provider and patient, encouraging establishment of a comprehensive care plan, and ultimately optimizing breast health outcomes for each individual patient, instead of categorizing patients into groups, costing lives.

The Tannahill Model of Health Promotion is the formative theory that will represent this project's approach towards identifying and addressing breast health among women. This model aims at health promotion by incorporating community-based education through dissemination of best available evidence, protection through policy implementation, and prevention or early detection of disease through modern medicine (Tannahill, 2009). This model will demonstrate health promotion of female breast health through analyzation of current literature, sharing this information to the health care team at FQHC, and implementing an easy-to-use standard of practice toolkit to open a pathway for discussing and offering secondary screening measures for breast cancer.

The “appropriateness in patient care” is the conceptual framework that will be the foundation in creating an algorithm for the Screening Mammogram Initiation Protocol (SMIP) at FQHC. This will also help formulate the approach and process of how providers are to lead consultation with their patients regarding breast health. Sharpe & Faden (1996) proposes “appropriateness” is characterized by valuing three point of views of the clinician, the patient, and the society. This framework emphasizes clinical recommendation by the health care provider are to be based on counseling best available literature. Discussion of all the available options and their potential outcomes with consideration of the patients' values and preferences are integral components of valuing “appropriateness” (Sharpe & Faden, 1996). This concept in patient care highlights the significance of informed consent that is based on evidence, is non-biased, and a

process of shared decision making between the patient and the provider. As critical as it is for a clinician to disseminate information on recommended medical interventions while weighing the health benefits versus risk for each patient, if the proposed intervention does not align with the patient's principles, then it is considered inappropriate to implement at that visit.

While treating the whole person in a holistic manner, it is essential to integrate scientific knowledge to ensure optimal patient outcomes. Evidenced based practice theory is the fundamental guide for the purpose of this Doctorate of Nursing Practice (DNP) project and efforts toward a practice change at FQHC. This theory promotes the dissemination of high-level research based on a hierarchal ladder into clinical practice. Translating evidence into the health care delivery system improves medical care and is vital towards meeting public health needs (Institute of Medicine, 2001). However, while evidence can be generalized in the efforts of a high-level study, sometimes evidence based practice theory can be contradictory in practice as the population is not generalized and may require more individualized approaches. Each patient is an individual with different values that are uniquely influenced by their social, environmental, spiritual, cultural beliefs, and experiences. This is a reminder that a harmonious balance between medicinal science and patient intervention is a collaborative process which urges an informed decision, requiring clinician dissemination of information and patient-centered decision making.

### **Specific Aims**

By February 2019, primary care providers at the FQHC will receive an educational module on BCS guidelines, understand the importance of the evidence behind the current guidelines, and apprehend techniques for individualized approaches to screening mammograms using the Screening Mammogram Initiation Protocol (SMIP), developed by the author as a tool for aiding decision making for best screening initiation timeframe and cancer risk assessments

(Appendix K). The providers will be able to participate in shared decision making, using an evidence based standardized approach. Screening may start early for some women, compared to the current approach of starting all women at age 50. Appropriate recommendation of care will be coordinated by achieving each of these objectives with the SMIP:

- Evaluate current best evidence and create an algorithm for breast cancer mammogram screening appropriate to risk and age for each female
- Assess and evaluate best approaches for successful implementation of algorithm
- Present educational session and implement SMIP into practice
- Improve provider knowledge and counseling/recommendation for mammogram with female members

### **Section III: Methods**

#### **Context**

The SMIP protocol will be implemented using an educational and integrative approach. Education regarding BCS, discussion about guidelines, a review of current practice and risks, along with implementation of this algorithm in the clinic work flow to achieve full implementation of this protocol will be applied.

#### **Stakeholders**

Key stakeholders that will be directly involved with this project proposal include the DNP student, DNP chair, site leader, general practitioners, clinic management, and clinic staff. Recipients that will be affected by this intervention include female patients and their families of FQHC, their insurances/government, diagnostic testing centers, and specialty oncology clinics.

#### **Interventions**

After reviewing the current BCS guidelines and risk factors, the SMIP will be created by the DNP student. The SMIP is an evidence-based strategy designed as an algorithm for healthcare providers to educate and navigate women about their breast health throughout their life trajectory. This screening provision is an opportunity for professional development among healthcare professionals, a public outreach initiative to promote screening on disparate populations, and improvement on quality and assurance of reproductive services provided (National Breast and Cervical Cancer Early Detection Program [NBCCEDP], 2013). After collaborating with the DNP chair and site leader for the SMIP approval, the DNP student will meet with the FQHC director for project proposal and approval to implement the project. Education of providers will occur during one of their monthly, morning provider training meetings. A 10-minute PowerPoint presentation will be provided as an in-service to FQHC staff with handouts of the SMIP. Pre-surveys from the providers will also be collected.

The SMIP will be initiated the following week for 9-weeks for data collection. Currently, identified from I2I Tracking the medical assistants highlight female patients age 50-74 that are due for their biennial mammogram and are listed for clinical visits the following day. During their visit, either the medical assistant will ask if the patient needs a mammogram referral request and if they have further questions a discussion with the provider can occur. With the SMIP, identifying patients the day before will be eliminated. Instead, during the rooming process the medical assistants will review with female members ages 40 and 45, their risk factors for breast cancer (that can include the Gail Model assessment), then record and report findings to the treating provider. From those responses, the provider will be able to guide the discussion about the patient's individualized breast cancer risks and help the patient make an informed decision about mammography screening. From the providers documentation, the patient's preference on



the interval for mammograms will be viewable for future management in secondary screening care. Post surveys will be collected by the DNP student completed by the providers at the end of the project implementation. Analyzation of all data collected from pre/post surveys and I2I Tracking will be completed by the DNP student with assistance from Dr. Sandhu.

By integrating the SMIP into FQHC's practice, the project will offer overall greater awareness towards screening services for all female members, an EHR system with I2I Tracking that aligns and supports provider practice changes, a reduction in barriers and increased access to BCS (NBCCEDP, 2013). Increased and appropriate BCS, re-screening, and surveillance will impact health outcomes by reducing breast cancer morbidity and mortality, and reduce health disparities.

### **Gap analysis**

The current standards of practice for mammogram screening at the FQHC follow the USPSTF guidelines. The USPSTF recommends biennial mammograms for women 50 and over who are at average risk until age 74 (Siu, 2016). A Family Nurse Practitioner at the FQHC initially shared concern over when to start the conversation with patient's about BCS, when to order mammography, and when to schedule follow-up imaging. He and other providers at the FQHC shared despite the governing USPSTF guidelines that initiate mammogram screening at age 50, there is no screening for any additional risks, or educating women about the possibility of starting earlier or at more frequent intervals. Mammograms are then continued biennially as per the recommendations of the USPSTF. Furthermore, considering females with breast cancer risk factors, there is no standard at FQHC guiding provider practices among earlier initial screening age, frequency, discontinuation, as well as mammogram test result recommendations for next routine follow-up.

The lead Nurse Practitioner for this project was interviewed for a detailed gap analysis (Appendix D and E). The NP site leader expressed BCS and follow-up varies not only among providers, but also among different organizations, citing that every unremarkable radiology imaging report recommends to schedule the next mammogram in one year. This recommendation directly conflicts with the USPSTF's guidelines to image every 2-years for an average risk patient. From his interactions, other providers have expressed similar opinions and a general consensus of confusion with when to start, rescreen, and discontinue mammogram practices with their female population.

At the FQHC, I2I Tracking is a repository system that extracts electronic health record data from NextGen for the institution to understand areas of high performance and needs for improvement to support quality health outcomes, efficiency, and financial responsibility. Utilization of I2I Tracking found between the 12 months of September 2017-2018, 838 females ages 50-74 (parameters determined based on the FQHC's current standards of care adopted by the USPSTF guidelines) were eligible for breast cancer mammograms. Out of those 838 females, 599 were referred by clinicians and received mammograms showing a near 72% compliance. When age parameters were expanded from ages 40-74 (based on the ACOG, NCCN, and ACR's initial start age), 1322 females at the FQHC were eligible and 837 females were referred and received mammograms reflecting a 63.7% rate of mammography screening. This data suggests that provider practices are inconsistent with current FQHC standards for BCS mammography, and/or risk factors are not cohesively identified to implicate initiating earlier mammogram referral.

## **GANTT**

This DNP project will take place from October 2018-April 2019. A GANTT chart illustrates the proposed project's timeline (Appendix F). Each task is reflected with which month each of these milestones are planned to be completed. These tasks follow the nursing process by first identifying the needs of FQHC's clinic through assessing the work environment and then determining a project idea. Planning will occur through a literature review and creating a practice based screening tool (SMIP). Implementation is conducted with an educational presentation with subsequent utilization in clinical practice for nine-weeks. This will simultaneously follow an evaluation with data collection and analysis. Lastly, the DNP student will complete the project summary and write-up to further disseminate the overall findings.

### **Work Breakdown Structure**

The primary responsibility of the development, planning, implementation, and evaluation of this project is the DNP student (Appendix G). The DNP student will facilitate, coordinate, and lead this project with the support of the DNP chair, Dr. Sandhu and the clinical site mentor. The DNP student will work with Dr. Sandhu to develop the Screening Mammogram Initiation Protocol (SMIP). The DNP student will provide an educational PowerPoint in-service, and help implement the SMIP. The Site Leader will extract the data collected on I2I Tracking for analysis. Health Care Providers and Medical Assistants will utilize the SMIP into clinical practice over a nine-week period. The DNP student will be able to utilize information collected from I2I Tracking and surveys to evaluate the projects efficacy and success.

### **SWOT Analysis**

An analysis of the strengths, weaknesses, threats, and opportunities to the project have been performed to identify foreseeable issues with proposed solutions to support the success of this project (Appendix H).

**Strengths.** The FQHC's health care providers have expressed a need to better address and counsel patients on BCS and referral for mammograms. Integrating a standardized process will help maintain accountability to aid in prompting providers when their patients are due for mammogram screening discussion. Easy access of the algorithm with printed laminated handouts will help appropriately guide the screening process to improve identification of women eligible for mammography and are to receive counseling. This project has the opportunity to increase provider knowledge, enhance patient involvement, and provide both patient and provider empowerment in making decisions. Subsequently, this will foster collaborative discussions with members to promote patient centered care. Ultimately this can increase patient satisfaction scores and improve continuity of screening services, early detection, and referral for treatment in primary care.

**Weaknesses.** Potential issues that exist and challenge this project's success include a lack of a currently existing algorithm, differing views and/or limited time from providers, a lack of opportunity within the timeframe of the intervention, and possible lack of participation from the patients, given the time constraints and needs for enhanced education. A change in any protocol can be confusing for patients and take time to adopt. Development of an algorithm that has never been tested requires dedication and time to research and formulate. Utilization in a confined period can hinder the potential of a larger sample size for analysis. Health care providers may not prioritize BCS discussion in comparison to other acute health issues. Providers may also not have sufficient time between visits to optimally discuss breast health due to the lack of resources. Beliefs and values of the patient based on culture and/or religion can affect patient opinions of obtaining mammograms. Modern medicinal practices can be considered an invasive intervention

in comparison to complementary alternatives such as the connectedness of spiritual healing and consciousness of balance between all elements that is practiced in Native American culture.

**Opportunities.** A culture shift is occurring towards emphasis on preventative health and screening in primary care. Many opportunities exist to improve the quality of care at FQHC. Including BCS discussions as part of the routine well women annual exam will raise awareness and promote holistic care. The Centers for Medicare and Medicaid created an electronic clinical quality measure, CMS125v6 to monitor the 2018 performance of women ages 50-74 obtaining mammograms to screen for breast cancer (Electronic Clinical Quality Improvement Resource Center, 2018). Starting screening early in some females and ensuring that screening will happen by age 50 for all females, will help the FQHC meet the goals of quality healthcare. The use of a standardized protocol will create a flow for early conversation and hopefully mitigate further delays in achieve successful screening mammogram rates. Production of this measure highlights the importance of monitoring screening rates in primary clinical care. The United States Department of Health and Human Services Health Resources and Services Administration (n.d.) further developed a detailed overview of the BCS quality measure to emphasize the importance of addressing high quality assurance in healthcare to improve outcomes.

Effective screening will improve Healthy People's 2020 target goals towards reducing health disparity rates of cancer related "illness, disability, and death" (Office of Disease Prevention and Health Promotion, 2018a). The National Committee for Quality Assurance (2018) Healthcare Effectiveness Data and Information Set shows the 2017 BCS rates based off of insurance coverage within the last two years among women ages 50-74 were 72.7% for commercial Health Maintenance Organization (HMO) insurance, 70.2% commercial Preferred Provider Organization (PPO) coverage, 58.3% HMO Medicaid, 72.5% HMO Medicare, and

72.2% PPO Medicare. This clinical performance shows up to a 13.9% range in variability of screening rates, suggesting the type of care coverage an individual has contributes towards the quality of comprehensive health sought and delivered. In 2016, “only 22% of uninsured women aged 40-64 received a mammogram in the past year, compared to 54% of insured women” (American Cancer Society Cancer Action Network, 2016, para. 2). Furthermore, females not getting screening included 30% not insured, 62% uninsured, 25% college graduates, and 47% with less than 12 years of education further potentiating socioeconomic disparities (American Cancer Society Cancer Action Network, 2016).

**Threats.** Foreseeable threats that challenge the success of this project are the varying institutional and government agency guidelines on BCS, cultural/language barriers, and misinterpretations or errors of the algorithm. Adapting reputable guidelines onto one document will reflect the best attempt at integrating, while respecting all current screening recommendations but will not have proven generalizability. However, this will promote patient-centered care and decision making that will be established with the provider.

### **Budget**

This project will not require significant financial cost, rather a commitment of time from the stakeholders and those directly affected by the intervention (Appendix I). Cost of supplies for the educational presentation is projected to be about \$110 and the student developing, implementing, and evaluating this project will not be financially compensated. The presentation will be conducted during the FQHC’s monthly required provider in-service training meetings. Implementation of the SMIP will occur during a patient’s well-women annual visit and will be of no extra cost. Due to the Affordable Care Act, women are no longer discriminated by health

insurance coverage and have access to preventative care without cost-sharing (National Women's Law Center, 2013).

The economic burden of cancer in the United States in 2010 were estimated to be \$124.5 billion, \$16.5 billion towards female breast cancer as the highest cancer cost (Yabroff et al., 2011). In the United States an average cost of a mammogram for an uninsured individual is \$102, with Oakland, CA \$130 respectively (Cost Helper, 2018b and New Choice Health, 2019). However, all new health insurance companies after the Affordable Care Act was initiated in 2012 are required to cover mammograms every one to two years for women over 40 years without cost-sharing or co-payments (National Women's Law Center, 2013). Breast cancer treatment varies by stage and can involve surgery (i.e. lumpectomy or mastectomy), chemotherapy and/or biotherapy, radiation, and other ancillary treatments for symptom management, adverse or side effects, and unanticipated hospitalizations.

Insured patients receiving breast cancer treatment can easily reach their yearly out-of-pocket maximum from labs, copays for prescription drugs, physician visits, and individual drugs or treatments not covered by their insurance plan (Cost Helper, 2018a). For patients without insurance, surgery costs range from \$15,000-\$50,000, chemotherapy \$10,000-\$100,000 (or \$7,000-\$40,000 per treatment), with a total costs of treatment averaging \$100,000 to \$300,000 for advanced cases (Cost Helper, 2018a). For an average risk women ages 45-65, there is a 1:21 chance for developing breast cancer (ACS, 2013). For every 21 mammograms for uninsured females it will cost \$2142, less than any single treatment modality for diagnosed breast cancer that typically is comprised as multi-regimen.

### **Communication Matrix**

Integrating each organization's recommendation into an easily comprehensible and usable toolkit will require current evidence-based research and planning (Appendix J). Project research, development, coordinating, and facilitation of this project will be the primary responsibility of the DNP student. Continual communication with the DNP chair and site leader will be conducted as appropriate to the project needs via in-person, email, and phone.

### **Outcome Measures**

Based on current best evidence, the DNP student with guidance from the DNP chair, will create the SMIP intervention to standardize mammogram screening and referral in primary care. This intervention will help reduce missed screening opportunities due to a standardized protocol that integrates current evidence with EHR prompt reminders. This proposed intervention will improve health care provider knowledge and confidence to educate and offer appropriate referral. Outcome measures for this project's intervention will reflect the following:

- At least 80% of providers will increase their knowledge of when and how to counsel female members about breast health.
- At least 25 patients will receive counseling/recommendation for mammogram using the SMIP starting at age 40.
- At least 50% of the providers will acknowledge satisfaction with utilizing the SMIP algorithm for BCS.

### **Analysis**

Qualitative data will be collected through pre and post educational and implementation surveys completed by health care providers at the FQHC. This data will reflect on the quality of the education provided, the feasibility using the SMIP algorithm, and open feedback from providers implementing the intervention. Quantitative data will be comprised of general and



anonymous information collected from the electronic I2I Tracking. Data for analysis will include the number of females starting BCS at age 40 and 50, and the number of females eligible and referred for a mammogram. Quantitative information from the electronic I2I Tracking will be extracted into Excel spreadsheet software for analysis and evaluation. Planned data analysis will include the change in percent of knowledge, the change in percent of patients referred for mammogram, and the percentage rates of satisfaction using the Likert scale.

### **Ethical Considerations**

This project focuses on quality improvement using evidence-based change in clinical practice (Appendix A). This project examines BCS practices before and after the project's intervention to female members at the FQHC that are primarily high-risk and have less access to resources. Competing reputable organizations recommend differing BCS practices that are notably earlier than the FQHC's current standards of practice that follow the USPSTF Guidelines. Health promotion is an on-going and applicable beneficent goal for every patient. Health care providers are responsible for educating patients and caregivers by providing comprehensive information to facilitate their right to make decisions (American Nurses Association, 2015). Health care providers are also responsible for utilizing evidence-based medicine in practice where appropriate. Determining appropriateness of screening should not be the sole responsibility of the provider, when guidelines that vary exist. Despite cultural/religious preferences, language barriers, or personal biases, it is a provider's "authority, accountability, and responsibility [to] take action consistent with the obligation to promote health and provide optimal care" (American Nurses Association, p. 7, 2015). Counseling on all opportunities to screen should be based on the values of patient benefits versus harm and is a collaborate and autonomous discussion between patient and provider. This project is a non-research project

which will evaluate a quality improvement method, and does not require IRB approval (Appendix A).

## Section IV: Results

### Results

A total of 25 staff members (nurses, behavioral health, social work, advanced practice providers, physicians, and CEO) participated in the BCS educational presentation. Eleven providers participated in the pre/post knowledge surveys and nine completed the nine-week post-implementation evaluation (Appendix N). I2I Tracking recorded the number of eligible female participants for BCS and the number referred by providers based on the age ranges of 40-49 and 50-59 between February 6<sup>th</sup>- April 10<sup>th</sup>, 2019 (Appendix O).

**Pre/Post Knowledge Surveys.** The pre knowledge survey was administered prior to the start of the educational, in-person presentation with the post knowledge survey provided after presentation completion (Appendix L). Questions one and two asked providers how well they understood the current BCS protocol at the FQHC of average and high-risk female patients with available options as ‘less than I would like’, ‘about right’, and ‘more than I would like’ (Appendix N). Results reflected a 19% and 36.5% improvement after the post-educational session. Question three evaluated how versed providers felt in regard to other various screening guidelines (ACOG, ACR, ACS, NCCN, USTPSTF) with selections to answer from ‘not at all well informed,’ ‘somewhat well informed,’ and ‘very well informed.’ The post knowledge survey showed a 26.5% increase where providers felt they were ‘very well informed.’ Question four supported a near unanimous response that providers felt it was ‘very important’ that patients receive counsel about mammogram screening guidelines to make individualized screening goals based on risk assessment. Only one response in the post knowledge survey chose it was

‘somewhat’ important to provide shared-decision making BCS. Ninety percent answered (previously 82%) that at this time they were ‘very likely’ to implement an individualized screening protocol based on risk factors. One answered in the post knowledge survey they would ‘somewhat likely’ utilize the SMIP. Free response feedback of “great work, I feel well informed after your lecture” and “thanks ☺” were written in the post-education.

**Post Implementation Survey.** The post implementation survey was provided by the clinical site mentor at the subsequent FQHC staff meeting on April 10<sup>th</sup>, 2019 (Appendix L). Eighty-nine percent felt ‘very comfortable’ using the SMIP after nine-weeks and 11% felt ‘somewhat comfortable’ (Appendix N). In question two, 89% answered that it was ‘very feasible’ to incorporate the project into a women’s annual exam and 11% ‘somewhat feasible.’ Fifty-five percent of providers responded ‘yes,’ that they were more engaged in making BCS decisions with their patients using the SMIP, 33% ‘somewhat,’ and 11% ‘not at all.’ As a provider, 89% replied ‘yes’ that they saw value in initiating the SMIP protocol, 11% ‘somewhat,’ and 0% ‘not at all.’ Open feedback responses were “Not sure if I’m really using this protocol, I use the USPSTF recommendations,” “I love laminated patient education tools!,” “great job! Go dons!,” and “great work! Great protocol.”

**I2I Tracking Results.** The electronic I2I Tracking system found after nine-weeks from the start of implementation that out of 842 women aged 40-49 that were eligible for BCS, 609 received referrals from their providers (Table 5 & Appendix O). Seventy two percent were screened during the implementation phase in comparison to 64% pre-project. For women aged 50-59, 555 were eligible for BCS, 445 were referred from their providers, and 80% were screened (previously 73%).

**Table 5:**

Age	Percent Screened Pre Project 9/10/17-9/10/18	Percent Screened Post Project Implementation 2/6/19-4/10/19
40-49	64%	72%
50-59	73%	80%

(I2I Tracking search)

## Section V: Discussion

### Summary

Although there were minimal unfavorable responses in the pre/post knowledge and post-implementation surveys, overall feedback was positive and exceeded all desired project outcomes. Providers responded that they better understood the various BCS guidelines and the FQHC's BCS protocol for not only average risk, but also high-risk female patients. Providers weighed near unanimous importance in counseling women about their breast health and choosing when to start mammography based on individual risk factors. Nearly 90% of providers acknowledged satisfaction using the SMIP and during the nine-week implementation, 609 female patients ages 40-49 received provider referral for mammography.

### Interpretation

For women under age 50, an 8% increase in the number of women who were referred for mammograms was observed. Based on these I2I Tracking results, however only an assumption can be made that the SMIP protocol was followed, since no direct patient or provider data was analyzed. For women aged 50-59, a 7% increase in mammogram recommendation referrals were completed by providers, improving FQHC's BCS practice protocol, Health People 2020's initiatives C17 and 18.1, and meeting all various screening recommendations (regardless if it is the latest start age for their respective guidelines). It can be inferred that this project's educational session and non-validated SMIP tool improved provider knowledge and understanding of BCS and supported comprehensive counseling for women to make informed

decisions about their breast health. It can be inferred that toolkits identifying women at risk for breast cancer or place higher value in the benefits of mammography over the ‘harms,’ are necessary in primary care to support the opportunity for earlier screening and potential diagnosis. It can be concluded that at this time there is no clear consensus on BCS recommendations, but rather it should be the choice of the patient after non-biased counsel by their respective provider. Instead of examining the number of completed mammograms, reimbursement measures should rather measure the number of women who received counseling on BCS.

### **Limitations**

Unavoidable limitations without breaking HIPAA and requiring the assistance from the informational technologist to build tracking features in the EHR were if patients completed their mammogram after a referral was made, and if patients who received comprehensive BCS counsel by their respective provider chose not to have a mammogram at that time. Future studies examining the barriers for women to complete their screening mammogram and factors weighing the decision for or declining to start mammography are suggested to better improve the SMIP and overall BCS. A confounding variable that could have influenced the outcomes of this project was the need for the clinic to meet the 2018 Healthcare Effectiveness Data and Information Set (HEDIS) measures. HEDIS tracks and supports funding for FQHC with one measure directly examining BCS rates for women aged 50-74 years. The drive for the clinic to meet these requirements could have indirectly contributed to the increased rates of referral for women ages 50-59, but overall is supportive of improved breast health outcomes.

### **Conclusions**

In light of advancing technology and the shift towards precision health, primary healthcare providers have the opportunity to initiate the discussion and counsel women on the

risks, benefits, and limitations of BCS while incorporating patient values and preferences. There is an opportunity to promote BCS in various populations and decrease health disparities using primary care practice tools such as the SMIP. National goals to increase BCS interventions can be met and positively influence quality health care outcomes, screening efficiency, delivery of care, financial performance, and patient-provider satisfaction. Shared-decision making between provider and patient coupled with standard breast screening guidelines can ultimately empower women about breast health and improve patient outcomes.

### **Section VI: Other Information**

#### **Funding**

This doctoral project received no sources of funding from any organization in the design, implementation, interpretation, and reporting of this work.

## Section VII: References

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## Section VIII. Appendices

### Appendix A: DNP Statement of Non-Research Determination

#### DNP Statement of Non-Research Determination Form

**Student Name:** Lacrisha Go

**Title of Project:** Standardizing Mammogram Screening in Primary Care: Integrating an Evidence Based Approach

**Brief Description of Project:** Mammogram screening practices for breast cancer vary between initial start age, frequency, and discontinuation among reputable organizations. Both modifiable and non-modifiable risk factors has caused even further confusion in the screening process. This has consequently resulted in inconsistent provider practices within primary care and ultimately impacted early identification of breast cancer and its mortality. The purpose of this project is to create and implement a breast cancer screening algorithm for providers in primary care to identify, assess, collaborate, and refer women for mammogram testing using the appropriate guidelines and risk factor assessments based on age.

**A) Aim Statement:** By March 2018, primary care providers at the Federally Qualified Health Center (FQHC) will utilize a standardized approach for routine screening mammograms using the Screening Mammogram Initiation Protocol (SMIP).

**B) Description of Intervention:** The DNP student will create the SMIP and lead an educational training session to FQHC health care providers and medical assistants about the breast cancer mammogram screening algorithm. Participants will utilize the information taught in the presentation to effectively implement and utilize the toolkit into practice. Six weeks after initial project implementation, the DNP student will connect with project manager to collect statistical information from I2I tracking and evaluate intervention's effectiveness from completed staff surveys.

**C) How will this intervention change practice?** This intervention will reduce missed opportunities of initial screening by standardizing the breast cancer screening process for all female patients at FQHC. It will help increase health care provider knowledge and empowerment to counsel and encourage collaboration of comprehensive plans with their patient.

**D) Outcome measurements:** 1) At least 80% of providers will increase their knowledge of when and how to counsel female members about breast health. 2) At least 25 patients will receive counseling/recommendation for mammogram using the SMIP starting at age 40. 3) At least 50% of the providers will acknowledge satisfaction

with utilizing the SMIP algorithm for breast cancer screening.

To qualify as an Evidence-based Change in Practice Project, rather than a Research Project, the criteria outlined in federal guidelines will be used:

(<http://answers.hhs.gov/ohrp/categories/1569>)

This project meets the guidelines for an Evidence-based Change in Practice Project as outlined in the Project Checklist (attached). Student may proceed with implementation.

This project involves research with human subjects and must be submitted for IRB approval before project activity can commence.

Comments:

### EVIDENCE-BASED CHANGE OF PRACTICE PROJECT CHECKLIST \*

**Instructions: Answer YES or NO to each of the following statements:**

Project Title:	YES	NO
The aim of the project is to improve the process or delivery of care with established/ accepted standards, or to implement evidence-based change. There is no intention of using the data for research purposes.	<b>X</b>	
The specific aim is to improve performance on a specific service or program and <b>is a part of usual care</b> . ALL participants will receive standard of care.	<b>X</b>	
The project is <b>NOT</b> designed to follow a research design, e.g., hypothesis testing or group comparison, randomization, control groups, prospective comparison groups, cross-sectional, case control). The project does <b>NOT</b> follow a protocol that overrides clinical decision-making.	<b>X</b>	
The project involves implementation of established and tested quality standards and/or systematic monitoring, assessment or evaluation of the organization to ensure that existing quality standards are being met. The project does <b>NOT</b> develop paradigms or untested methods or new untested standards.	<b>X</b>	
The project involves implementation of care practices and interventions that are consensus-based or evidence-based. The project does <b>NOT</b> seek to test an intervention that is beyond current science and experience.	<b>X</b>	
The project is conducted by staff where the project will take place and involves staff who are working at an agency that has an agreement with USF SONHP.	<b>X</b>	
The project has <b>NO</b> funding from federal agencies or research-focused organizations and is not receiving funding for implementation research.	<b>X</b>	
The agency or clinical practice unit agrees that this is a project that will be implemented to improve the process or delivery of care, i.e., <b>not</b> a personal research project that is dependent upon the voluntary participation of colleagues, students and/ or patients.	<b>X</b>	
If there is an intent to, or possibility of publishing your work, you and supervising faculty and the agency oversight committee are comfortable with the following	<b>X</b>	

statement in your methods section: <i>“This project was undertaken as an Evidence-based change of practice project at X hospital or agency and as such was not formally supervised by the Institutional Review Board.”</i>		
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**ANSWER KEY:** If the answer to **ALL** of these items is yes, the project can be considered an Evidence-based activity that does NOT meet the definition of research. **IRB review is not required. Keep a copy of this checklist in your files.** If the answer to ANY of these questions is **NO**, you must submit for IRB approval.

\*Adapted with permission of Elizabeth L. Hohmann, MD, Director and Chair, Partners Human Research Committee, Partners Health System, Boston, MA.

**STUDENT NAME (Please print):**

Lacrisa J. Go

**Signature of Student:**

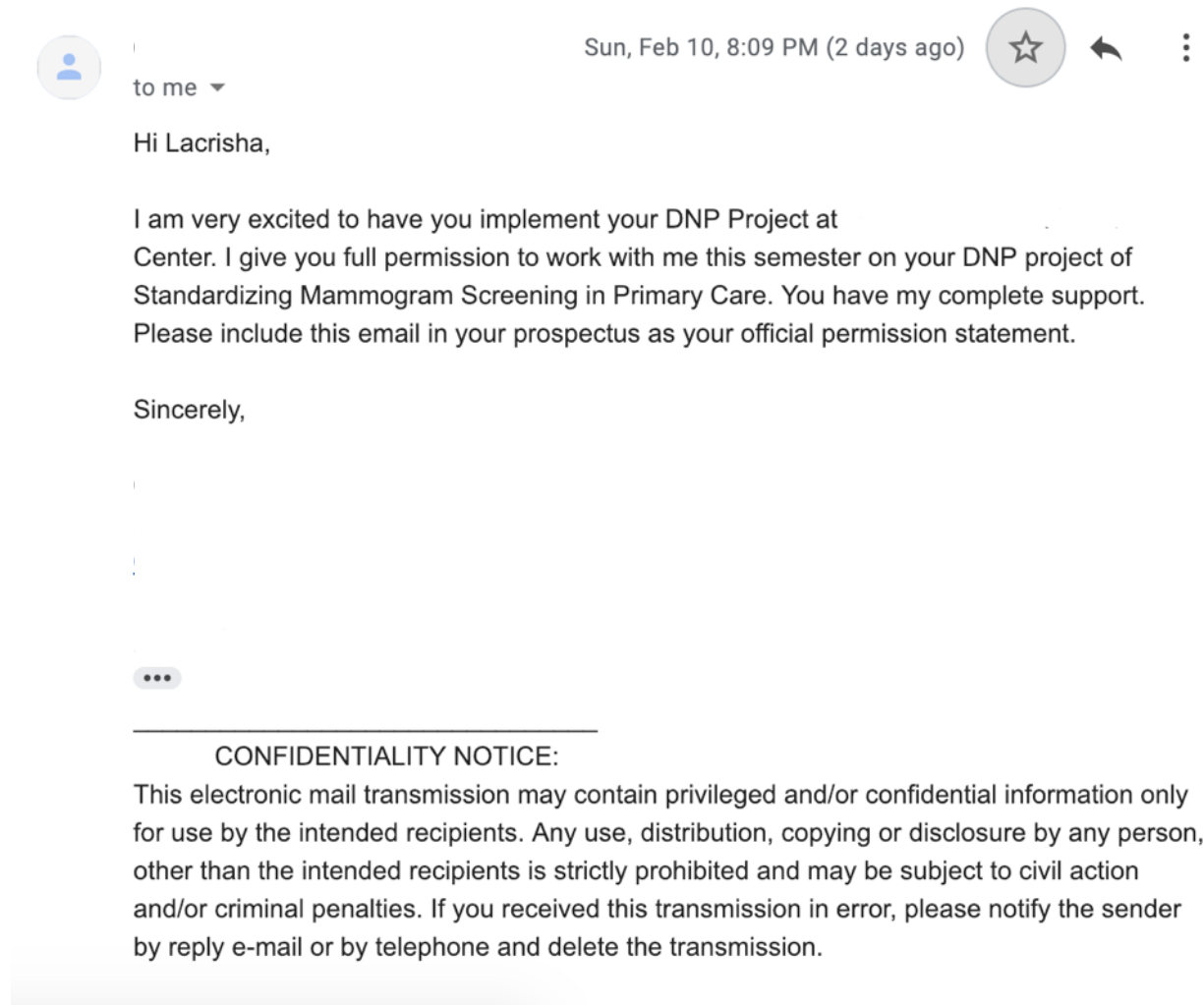
**DATE**

**SUPERVISING FACULTY MEMBER (CHAIR) NAME (Please print):**

**Signature of Supervising Faculty Member (Chair):**

**DATE**

## Appendix B: Letter of Support from Organization



Appendix C: Evaluation Table

Citation	Design/Method/Measure	Sample & Setting	Variables Studied and Their Definitions	Data Analysis	Findings	Appraisal: Worth to Practice
Magnus et al., 2011	A meta-analysis evaluating BCS mortality for women aged 39-49. Sources reviewed (August 2009-December 2009) using the Cochrane, Educational Resources Information Center, Medline, Ovid, and PubMed databases. Quality of evidence evaluated by two, independent reviewers.	Nine randomized controlled trials included	IV: Age  DV: Breast cancer diagnosis and mortality	DerSimonian and Laird random effects model using the STATA statistical software version 10.1.	Breast cancer mortality reduction found with women aged 39-49 receiving routine mammography.	Implications: Evidence suggests that women younger than 50 years-old experience a reduced incidence of breast cancer mortality with mammogram screening.  Limitations: All studies evaluated were conducted >10 years ago reflecting possible outdated imaging modality and treatment options.  LOE: I
Myers et al., 2015	Systematic review of available evidence on the harms and benefits of breast cancer screening. Sources reviewed (September 2013 and March 2014) using CINAHL, PsychINFO, and PubMed databases.	Ten randomized controlled trials, 72 observational studies, one	IV: Age, mammography, clinical breast examinations  DV: Breast cancer mortality, overdiagnosis, life expectancy,	Summary estimates, qualitative synthesis	“Moderate” quality of evidence that mammography screening is correlated with mortality reduction for women ages 40-69 and an increase in false-positive results with recommendation for	Implications: Based on variability and the quality of available evidence it is difficult to determine which breast cancer screening recommendation should be followed.



	Quality of evidence evaluated using the Grading of Recommendations Assessment, Development, and Evaluation framework.	modeling study, and seven reviews	quality-adjusted life expectancy		biopsy over 10 years in the United States. “Low” evaluation of evidence found between the best intervals of screening, overdiagnosis, quality-adjusted life expectancy, and association with clinical breast examinations and mortality.	Limitations: No publication date limit, level of evidence, varying screening methods, advancements in treatment technology since studies conducted  LOE: III
Nelson et al., 2016a	Update on the 2009 USPSTF systematic review with meta-analysis on the effectiveness of BCS. Sources analyzed (June, 2015) using MEDLINE and Cochrane databases.	Thirty-eight articles included (Eight randomized controlled trials)	IV: Age, imaging modalities utilized  DV: Breast cancer mortality, stage of diagnosis outcomes, and all-cause mortality	Random effects modeling, profile-likelihood modeling, Cochran chi-square tests, $I^2$ statistic, short and long case accrual methods, absolute rate reduction, Poisson modeling, and Stata/IC	Increased breast cancer mortality reduction found as one aged with routine mammogram screening in randomized control trials, however different indications indicated with two observational studies. All cause-mortality was not found to be significant among any age. Advanced breast cancer reduction indicated to reduce for women ages 50 and over.	Implications: Further research is necessary to help guide screening practices  Limitations: No publication date limit, advancements in treatment and imaging technology since studies conducted  LOE: I

				version 13.1 (StataCorp)		
Nelson et al., 2016b	Update on the 2009 USPSTF systematic review on the harms of BCS. Sources analyzed (December 2014) using MEDLINE and Cochrane databases.	Fifty-nine studies included	IV: Age  DV: False-positives, overdiagnosis, radiation exposure, pain, anxiety	Qualitative synthesis	False-positive rates observed similarly for women in the 40 and 50 ages, but overall higher with women ages 40-49 especially with dense breast tissue. Varying range of overdiagnosis found among all modeling studies. Women with false-positive findings found with more anxiety than women with negative mammography results. Pain associated with mammography varied. No studies found a direct association with radiation induced breast cancer from mammography screening.	Implications: Women with more breast dense tissue and are receive mammography annually are more likely to receive false-positive results leading to additional imaging. Overdiagnosis is difficult to determine because there is no standard of measurement. Psychological impact is a subjective finding, and the effects on each women differs.  Limitations: Differing screening practices, patient populations, modeling parameters (i.e. DCIS diagnosis, BC incidence)  LOE: III

Oeffinger, et al., 2015	Systematic review of current BCS literature conducted by the Duke University Evidence Synthesis Group. Quality of evidence evaluated using the Grading of Recommendations Assessment, Development, and Evaluation framework by the ACS's guideline development group.	Not clearly delineated	IV: Age of diagnosis  DV: Breast cancer mortality, life expectancy, false positives, overdiagnosis, quality of life, tumor burden	Qualitative synthesis	High quality strength for breast cancer mortality reduction in women receiving mammography screening younger than 50 years. False positives found higher with screening annually than biennially. The quality of evidence estimating overdiagnosis, life expectancy with screening, and quality-adjusted life expectancy was considered low.	<p>Implications: Grading of outcomes vary between studies and weigh harms versus benefits differently. Recommendations by ACS are a guidance, but shared-decision making is vital between the provider and the patient.</p> <p>Limitations: Many factors of each individual study affecting outcomes (i.e. comparison of age-groups, imaging modality utilized, type of screening, patient population risk factors), and outcomes of evidence were evaluated differently to determine recommendation (i.e. modeling estimates, empirical comparisons)</p> <p>LOE: III</p>
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Pace & Keating, 2014	Systematic review of harms and benefits of BCS. Sources analyzed using Medline database and manual search of reference lists and current practice recommendations. Quality of evidence evaluated using the American Heart Association guidelines.	Five meta-analyses included to evaluate BCS and mortality reduction,	IV: Age, individual risk factors of high risk patients  DV: Breast cancer mortality, harms of mammography screening (false-positives and recommendation for biopsy, overdiagnosis), and how to support patients in making informed decisions about their breast health	Qualitative synthesis	Mammography found to decrease BC mortality and found significant for women in their 40 through 60 year ages. Evidence shows there is a risk of false-positives that is higher the younger the age. Overdiagnosis estimates vary between studies and reports may be over or underestimated. Clinical decision models can be used to help best navigate best clinical outcomes and informed patient decisions.	Implications: Further high, rigorous studies needed to understand true benefit/harm of mammography. BCS ought to be individualized based on risk factors and patient priorities.  Limitations: Publication date of sources vary up to >10 years ago, advancements in treatment and imaging technology since studies conducted. LOE: III
Shen et al., 2011	A 10-year retrospective chart review through the Cancer Registry Database at an unidentified institution for women ages 40-49 treated for BC that followed the Commission on Cancer Programs Standards.	1,581 females treated for BC, 311 ages 40-49	IV: Age, annual mammography detected cancer, non-mammography detected cancer  DV: Breast cancer diagnosis, tumor size/sentinel lymph node involvement at	Descriptive, statistical analysis	Women with mammography detected cancer were found to have at diagnosis smaller tumor size, less sentinel lymph node involvement, higher disease-free and better overall survival rates compared with women with non-	Implications: Multiple benefits of annual mammography for women starting at age 40 exhibited.  Limitations: Study conducted >10 years ago LOE: III

			diagnosis, disease-free rate, survival rate		mammography detected cancer	
Van den Ende et al., 2017	Systematic review of the harms and benefits of BCS for women ages 40-49. Sources analyzed (February 2017) using Embase, Medline, PubMed, and Cochrane databases. Quality of evidence evaluated using the Grading of Recommendations Assessment, Development, and Evaluation framework.	Four articles examined of two randomized trials	IV: Age  DV: Breast cancer mortality, all-cause mortality, false-positives, overdiagnosis	Qualitative synthesis	Breast cancer reduction and all-cause mortality not found generalizable and graded as “moderate” quality of evidence. False positive recall observed and considered “high” quality of evidence for women ages 40-49. Overdiagnosis based on estimates.	Implications: More rigorous randomized controlled trials needed  Limitations: Randomization contamination, pre-screened participants, study generalizability, all studies conducted outside the United States (differing screening practices of invitation to screen versus opportunity to screen)  LOE: III

Key: LOE: level of evidence (Johns Hopkins Hospital/The Johns Hopkins University, 2012a, 2012b), IV: Independent variable, DV: Dependent variable, BC: Breast cancer, BCS: Breast cancer screening

## Appendix D: Gap Analysis Interview with lead Nurse Practitioner

1. What are some existing standards of practice policies that you believe can be improved at FQHC?

There is a lot of current evidence-based literature that can update and improve our health care outcomes of our patients at the FQHC. The name of this clinic indicates a huge opportunity to work with vulnerable populations where we can provide comprehensive, thorough care to those with many health care needs. Improvements especially for funding of our clinic include preventative health and secondary services such as screening for hepatitis, HIV, TB, breast/cervical/colon cancer. Especially as DNP students, we have the access and tools to make really positive change at a clinic like this.

2. What is the current process of identifying, managing, and follow-up for this practice and existing health outcomes?

The current process of breast cancer screening is our Medical Assistants print out a sheet of all our patients scheduled for the next clinic day. On this list, our I2I Tracking system alerts which patient are in need of a preventative health or screening service. From this, patients are notified by our panel manager that they are being referred for a mammogram. Patients call the number on the referral and then obtain their mammogram at local outside facilities. Reports are sent to their primary provider who then follow-up with the patient of the results. Our standard of practice policy follows the USPSTF guidelines, but unremarkable radiology imaging reports recommend to rescreen yearly. Our policy that is adopted from the USPSTF recommends to screen every two years. It is also confusing when we should start screening for patients with risk factors and why some women with no significant risk factors are receiving mammograms younger than age 50.

3. Is there available data that can support for the opportunity in change in practice?

I2I Tracks is a great system used to extract our data from NextGen to help track and indicate what preventative health measures are needed for each patient and reflects our compliance rates at the clinic. Using I2I Tracks we are able to measure baseline data, interventions that have been implemented at the clinic, and evaluate their effectiveness.

4. What are the desired outcomes for this practice change?

Outcomes of improving screening rates among all the providers would help maintain and possibly increase our Community Health Center Network government funding for our low-income clinic at FQHC. It would improve health outcomes for our patients and provider satisfaction knowing we are providing the best available care current literature offers.

## Appendix E: Gap Analysis

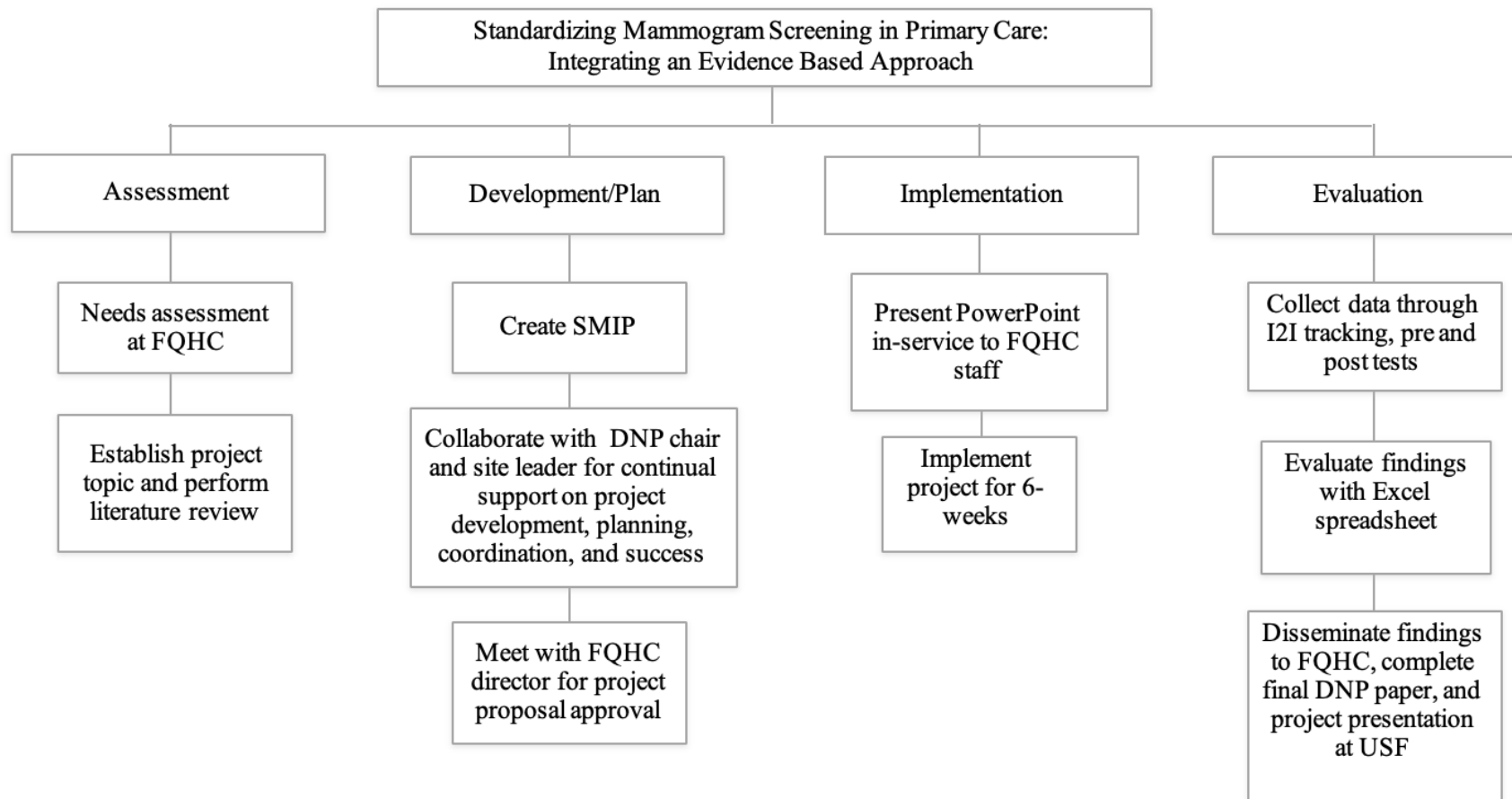
<b>Gap Analysis</b>		
<b>Desired Situation</b>	<b>Current Situation</b>	<b>Action Plan</b>
Optimize the breast cancer mammogram screening process to improve overall breast health for women at FQHC clinics.	FQHC follows the USPSTF recommendations on breast cancer mammogram screening starting at age 50 for all women, however, evidence suggests other options available.	<ul style="list-style-type: none"> <li>-Perform literature review on current guidelines and best available evidence</li> <li>-Create Screening Mammogram Initiation Protocol (SMIP)</li> <li>-Present project proposal to DNP chair for approval</li> <li>-Present project proposal to Site Leader for approval</li> <li>-Provide PowerPoint presentation in-service to FQHC staff</li> <li>-Implement SMIP for 6-weeks</li> <li>-Collect, analyze, evaluate, and disseminate findings</li> </ul>

## Appendix F: GANTT Chart

Project GANTT													
Task/Description	2018						2019						
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
Survey and identify needs of FQHC's clinic for potential project													
Analyze current recommendations and complete literature review													
Create algorithm													
Collaborate with stakeholders to introduce project													
Provide educational training for healthcare providers and medical assistants													
Implement project													
Collect data and analyze													
Disseminate findings													
Complete written DNP project													



Appendix G: Work Breakdown Structure



## Appendix H: SWOT Analysis

Strengths	Weaknesses
<ul style="list-style-type: none"> <li>● Health care professionals have expressed a need to better identify, address, and counsel on breast cancer screening and referral for mammogram at the FQHC</li> <li>● Increase provider involvement, knowledge, and empowerment</li> <li>● Increase patient satisfaction scores in receiving comprehensive health coverage</li> <li>● Provide early detection, diagnosis, and breast cancer treatment</li> <li>● Cost effective with ongoing surveillance, prevention, and early detection</li> </ul>	<ul style="list-style-type: none"> <li>● No toolkit currently exists that incorporates current evidence of best practices on breast cancer mammogram screening from reputable institutions and government agency</li> <li>● Resistance from medical assistants and/or health care providers that there is too little time or not as high of a priority to implement during visit</li> <li>● Lack of opportunity to use breast cancer mammogram algorithm within time frame of project</li> <li>● The FQHC already follows the USPSTF's grade "A" and "B" guidelines for breast cancer screening</li> </ul>
Opportunities	Threats
<ul style="list-style-type: none"> <li>● Increase quality of care and optimize breast health outcomes with female patients</li> <li>● Meets Healthy People 2020 goals towards reducing health disparities, cancer related disability and mortality</li> <li>● Standardize breast cancer policy and procedure screening in primary care</li> <li>● Decrease morbidity and mortality rates especially among a high-risk population</li> <li>● Raises awareness and promotes early detection, diagnosis, and treatment</li> </ul>	<ul style="list-style-type: none"> <li>● Competing institutions and government agency's recommend varying guidelines on initial screening, frequency, and discontinuation of mammograms</li> <li>● Cultural/language barriers</li> <li>● Misunderstandings of algorithm</li> </ul>

## Appendix I: Budget

Description	Calculations	Total Cost
Project Manager time	$(\$67.70/\text{hour} \times 135 \text{ hours} = \$9,140.60)^1$	\$0 (DNP student Project)
Provider time (Includes time for presentation, pre/post surveys, and utilizing toolkit)	$(\$75.48/\text{hour} \times 3 \text{ hours} \times 6 \text{ providers} = \$1,358.69)^3$	\$1,358.69
Medical Assistant time	$(\$23.25/\text{hour} \times 3 \text{ hours} \times 6 \text{ medical assistants} = \$418.50)^4$	\$418.50
Printed Material (handouts and toolkit)	\$70	\$70
Food for in-service	\$40	\$40
Space Rental	\$0	\$0
Equipment	\$0	\$0
<b>Total Budget</b>		<b>\$1,887.19</b>

1. Glassdoor. (2019a). Registered nurse salaries in San Francisco, California area. [https://www.glassdoor.com/Salaries/san-francisco-registered-nurse-salary-SRCH\\_IL.0,13\\_IM759\\_KO14,30.htm](https://www.glassdoor.com/Salaries/san-francisco-registered-nurse-salary-SRCH_IL.0,13_IM759_KO14,30.htm)

2. Glassdoor. (2019c). Family Nurse Practitioner salaries in San Francisco, California area. Retrieved from [https://www.glassdoor.com/Salaries/san-francisco-family-nurse-practitioner-salary-SRCH\\_IL.0,13\\_IM759\\_KO14,39.htm](https://www.glassdoor.com/Salaries/san-francisco-family-nurse-practitioner-salary-SRCH_IL.0,13_IM759_KO14,39.htm)

3. Glassdoor. (2019d). Certified medical assistant salaries in San Francisco, California area. Retrieved from [https://www.glassdoor.com/Salaries/san-francisco-certified-medical-assistant-salary-SRCH\\_IL.0,13\\_IM759\\_KO14,41.htm](https://www.glassdoor.com/Salaries/san-francisco-certified-medical-assistant-salary-SRCH_IL.0,13_IM759_KO14,41.htm)

<b>Burden of Breast Cancer Disease to the United States Healthcare System</b>	
2010 Cost Estimate for all Cancers	\$124.5 billion
2010 Cost Estimate for Breast Cancer	\$16.5 billion
2018 Projected New Breast Cancer Diagnosis	266,120 (30%- Leading)
2018 Projected Breast Cancer Deaths	40,920 (14% - Second highest)
2010-2014 Breast Cancer Incidence in California State	120.7 per 100,000

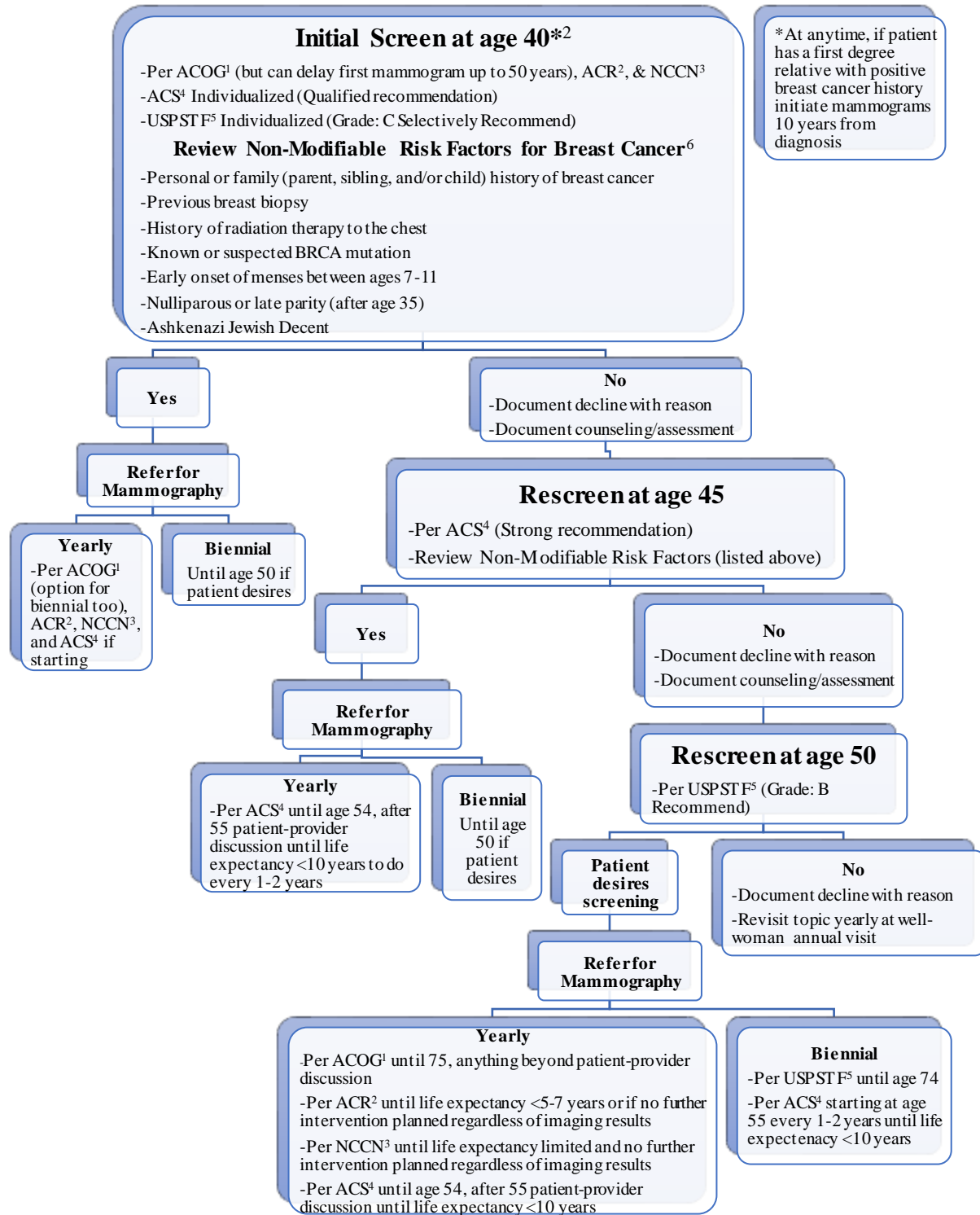
<b>Estimated Cost of Breast Health for an Uninsured Female Patient</b>	
Average cost of mammogram per patient	\$102
Average total cost of breast cancer treatment per patient	\$100,000

<b>Estimated Lifetime Cost of Mammograms for an Uninsured Female Patient Based off of Guidelines</b>	
USPSTF	\$1,224
ACOG	\$3,570
ACR (if discontinued at age 75)	\$3,570
ACCS (if discontinued at age 75 annually)	\$3,570
(if discontinued at age 75 and started biennially after 55)	\$2,550
NCCN (if discontinued at 75)	\$3,570

## Appendix J: Communication Matrix

Information	Audience	Time	Methods of Communication
Project planning and coordination	DNP Chair Site Leader DNP Student	Weekly- Biweekly	Email In-person Phone
Project modifications	DNP Chair DNP Student	As needed	Email In-person Phone
Project issues and resolutions	DNP Chair DNP Student	As needed	Email In-person Phone
Milestone completions	DNP Chair Site Leader DNP Student	Monthly	Email In-person Phone

Appendix K: Screening Mammogram Initiation Protocol (SMIP)



Adapted from:

**1. American College of Obstetrics and Gynecologists (ACOG)**

The American College of Obstetricians and Gynecologists. (2017). *ACOG practice bulletin: Clinical management guidelines for obstetrician-gynecologists* Retrieved from <https://www.acog.org/Clinical-Guidance-and-Publications/Practice-Bulletins/Committee-on-Practice-Bulletins-Gynecology/Breast-Cancer-Risk-Assessment-and-Screening-in-Average-Risk-Women?IsMobileSet=false>

**2. American College of Radiology (ACR)**

Lee, C. H., Dershaw, D. D., Kopans, D., Evans, P., Monsees, B., Monticciolo, D., ... Burhenne, L. W. (2010). Breast cancer screening with imaging: Recommendations from the society of breast imaging and the ACR on the use of mammography, breast MRI, breast ultrasound, and other technologies for the detection of clinically occult breast cancer. *Journal of the American College of Radiology*, 7(1), 18-27. doi:10.1016/j.jacr.2009.09.022

Monticciolo, D. L., Newell, M. S., Hendrick, R. E., Helvie, M. A., Moy, L., Monsees, B., . . . Sickles, E. A. (2017). Breast cancer screening for average-risk women: Recommendations from the ACR commission on breast imaging. *Journal of the American College of Radiology*, 14(9), 1137-1143. doi:10.1016/j.jacr.2017.06.001

**3. National Comprehensive Cancer Network (NCCN)**

Bever, T. B., Helvie, M., Bonaccio, E., Calhoun, K. E., Daly, M. B., Farrar, W. B., . . . Kumar, R. (2018). Breast cancer screening and diagnosis, version 3.2018, NCCN clinical practice guidelines in oncology. *Journal of the National Comprehensive Cancer Network : JNCCN*, 16(11), 1362-1389. doi:10.6004/jnccn.2018.0083

**4. American Cancer Society (ACS)**

Oeffinger, K. C., Fontham, E. T. H., Etzioni, R., Herzig, A., Michaelson, J. S., Shih, Y. T., . . . Wender, R. (2015). Breast cancer screening for women at average risk: 2015 guideline update from the American cancer society. *Jama*, 314(15), 1599-1614. doi:10.1001/jama.2015.12783

**5. United States Preventive Services Task Force (USPSTF)**

Siu, A. L. (2016). Screening for breast cancer: U.S. preventive services task force recommendation statement. *Annals of Internal Medicine*, 164(4), 279. doi:10.7326/M15-2886

**6. National Institute of Health: National Cancer Institute. (n.d.).** The breast cancer risk assessment tool. Retrieved from <https://bcrisktool.cancer.gov>

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## Breast Cancer Screening Guidelines

Group	Age to Initiate Mammograms	Frequency (years)	Age to Discontinue Mammograms
ACOG <sup>1</sup>	40* No later than 50	1-2*	75, anything beyond is provider-patient discussion
ACR <sup>2</sup>	40	1	Life expectancy <5-7 years or if no further intervention planned regardless of imaging results
ACS <sup>3</sup>	40-44 (Qualified Recommendation)  45 (Strong Recommendation)	1: ages 40-44 if starting (Qualified Recommendation)  1: ages 45-54 (Qualified Recommendation)  1-2: age ≥55 (Qualified Recommendation)	Life expectancy <10 years (Qualified Recommendation)
NCCN <sup>4</sup>	40	1	Limited life expectancy and no further intervention planned regardless of imaging results
USPSTF <sup>5</sup>	40-49 (Grade C: Selectively Recommend)  50 (Grade B: Recommend)	2 (Grade B: Recommend)	74 (Grade B: Recommend)

Adapted from:

### 1. American College of Obstetrics and Gynecologists (ACOG)

The American College of Obstetricians and Gynecologists. (2017). *ACOG practice bulletin: Clinical management guidelines for obstetrician-gynecologists* Retrieved from <https://www.acog.org/Clinical-Guidance-and-Publications/Practice-Bulletins/Committee-on-Practice-Bulletins-Gynecology/Breast-Cancer-Risk-Assessment-and-Screening-in-Average-Risk-Women?IsMobileSet=false>

### 2. American College of Radiology (ACR)

Lee, C. H., Dershaw, D. D., Kopans, D., Evans, P., Monsees, B., Monticciolo, D., ... Burhenne, L. W. (2010). Breast cancer screening with imaging: Recommendations from the society of breast imaging and the ACR on the use of mammography, breast MRI, breast ultrasound, and other technologies for the detection of clinically occult breast cancer. *Journal of the American College of Radiology*, 7(1), 18-27. doi:10.1016/j.jacr.2009.09.022

Monticciolo, D. L., Newell, M. S., Hendrick, R. E., Helvie, M. A., Moy, L., Monsees, B., ... Sickles, E. A. (2017). Breast cancer screening for average-risk women: Recommendations from the ACR commission on breast imaging. *Journal of the American College of Radiology*, 14(9), 1137-1143. doi:10.1016/j.jacr.2017.06.001

### 3. American Cancer Society (ACS)

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**4. National Comprehensive Cancer Network (NCCN)**

Bever, T. B., Helvie, M., Bonaccio, E., Calhoun, K. E., Daly, M. B., Farrar, W. B., . . . Kumar, R. (2018). Breast cancer screening and diagnosis, version 3.2018, NCCN clinical practice guidelines in oncology. *Journal of the National Comprehensive Cancer Network: JNCCN*, 16(11), 1362-1389. doi:10.6004/jncn.2018.0083

**5. United States Preventive Services Task Force (USPSTF)**

Siu, A. L. (2016). Screening for breast cancer: U.S. preventive services task force recommendation statement. *Annals of Internal Medicine*, 164(4), 279. doi:10.7326/M15-2886

## Appendix L: Pre-and-Post Knowledge and Project Implementation Surveys



## UNIVERSITY OF SAN FRANCISCO

### Breast Cancer Screening Pre Knowledge -Survey

1. How well do you understand current breast cancer screening protocol practiced at the Federally Qualified Health Center for average risk female patient's?

More than I would like      About right      Less than I would like

2. How well do you understand the current breast cancer screening protocol practiced at the Federally Qualified Health Center for high risk female patient's?

More than I would like      About right      Less than I would like

3. How well informed are you regarding the various guidelines for screening mammograms (ACOG, ACR, ACS, NCCN, USPSTF)?

Very well informed      Somewhat well informed      Not at all well informed

4. How important is it to you to counsel patients about mammogram screening guidelines to select individualized patient screening goals based on risk factors?

Very important      Somewhat important      Not at all important

5. How likely are you to implement an individualized screening protocol based on risk factors at this time?

Very likely      Somewhat likely      Not at all likely

6. Comments/Feedback:



## UNIVERSITY OF SAN FRANCISCO

### Breast Cancer Screening Post Knowledge -Survey

1. How well do you understand current breast cancer screening protocol practiced at the Federally Qualified Health Center for average risk female patient's?

More than I would like

About right

Less than I would like

2. How well do you understand the current breast cancer screening protocol practiced at the Federally Qualified Health Center for high risk female patient's?

More than I would like

About right

Less than I would like

3. How well informed are you regarding the various guidelines for screening mammograms (ACOG, ACR, ACS, NCCN, USPSTF)?

Very well informed

Somewhat well informed

Not at all well informed

4. How important is it to you to counsel patients about mammogram screening guidelines to select individualized patient screening goals based on risk factors?

Very important

Somewhat important

Not at all important

5. How likely are you to implement an individualized screening protocol based on risk factors at this time?

Very likely

Somewhat likely

Not at all likely

6. Comments/Feedback:



## UNIVERSITY OF SAN FRANCISCO

### Breast Cancer Screening Post Implementation Evaluation

1. How comfortable did you feel using the Screening Mammogram Initiation Protocol?

Very comfortable

Somewhat comfortable

Not at all comfortable

2. How feasible has it been to incorporate during a women's annual exam?

Very feasible

Somewhat feasible

Not at all feasible

3. As a provider, using the SMIP tool, were you more engaged in making breast cancer screening decisions with your patients?

Yes

Somewhat

Not at all

4. As a provider do you see the value in initiating the SMIP protocol?

Yes

Somewhat

Not at all

5. Comments/Feedback?

Appendix M: PowerPoint Slide Presentation



**Standardizing Mammogram Screening in Primary Care: Integrating an Evidence Based Approach**

Lacrisha Go  
University of San Francisco  
DNP Committee Chair: Dr. Dr. Prabjit (Jodie) Sandhu  
DNP Committee Member: Dr. Jo Loomis

### Gap Analysis

Current Situation	Desired Situation	Action Plan
<ul style="list-style-type: none"> <li>Follows the USPSTF breast cancer mammogram screening recommendations of biennial screening for all women to be seen ages 50-74 (B recommendation)</li> <li>71.4% (59/838) females ages 50-74 were referred by providers and received mammograms</li> <li>However, evidence of other reputable organizations suggest other options (ACOG, ACR, ACS, NCCN)</li> <li>63.7% (83/732) females ages 40-74 were referred by providers and received mammograms</li> </ul>	<p>Optimize the breast cancer screening process to improve overall breast health for women at Oakland</p>	<p>Incorporate the Screening Mammogram Initiative Protocol algorithm during a women's annual physical at ages 40, 45, and 50</p>

### Why is this Important?

In the United States,

- Breast Cancer is the number 1 cancer diagnosis in females
- Leading cause of cancer death among Hispanic women and second most common cause of cancer death among all other females

**1 in 8** women will be diagnosed with breast cancer in their lifetime

**10%** of women diagnosed in 2018 are estimated under the age of 45

**98.7%** Survival rate when diagnosed at a localized state

(Centers for Disease Control and Prevention [CDC], 2018; Surveillance, Epidemiology, and End Results Program [SEER], 2018)

### Disadvantages of Screening (for women aged 40-49)

- False-positives, additional imaging, false-negatives<sup>1</sup>**
  - False-positives highest among women age 40-49 (221.2/1,000; 95% CI 105.6-138.7) and decreased as one aged (p<0.001)
  - Additional imaging recommendations (124.9/1,000; 95% CI 109.3-142.3) and decreased as one aged (p<0.001)
  - False-Negative mammograms and biopsy recommendation rates for women aged 40-49 were not statistically significant
- Overdiagnosis & Psychological Impact<sup>2</sup>**
  - No one measure to accurately quantify estimate
  - Psychological stress subjective and difficult to weigh
- Mammography Radiation Risk<sup>3</sup>**
  - 0.4 mGy per mammogram = 4.8 mGy lifetime exposure if screened biennially from 50-74
  - Average 2-view CXR = 0.1 mGy; a chest CT 7-8 mGy

1. Nelson et al., 2012a; Nelson et al., 2012b; Sahyager et al., 2015; 2. International J. of Cancer; Nelson et al., 2012a; Sahyager et al., 2015; 3. Sahyager et al., 2015; Nelson et al., 2012a; Sahyager et al., 2015; 4. Nelson et al., 2012a; Sahyager et al., 2015

### Benefits of Screening (for women aged 40-49)

Variable	Mammography (per 1K)	Non-mammography (per 1K)	Significance
Average time to detect	20.68 mo	30.38 mo	P=0.0004
Relative length of life expectancy	2.47%	10.07%	P=0.0004
Upper Breast Free Rate	94%	71%	95% CI 87-97% vs 86% 52-59%
Overdiagnosis rate	67%	78%	95% CI 58-80% vs 55% 49-66%

(Sahyager et al., 2015)

Variable	Annual	Biennial
Mortality by duration	20.2%	22.2%
Deaths averted per 1,000	11.0	40%
Years of life gained per 1,000 screened	18.9	13.0
Number needed to screen to avert death averted	54	14.4
Number needed to screen per year of life gained	5.3	6.3

(Mack et al., 2012)

Variable	Age 40-54	Age 55-74
Female breast cancer deaths per 1,000	6	7
LIFE expectancy per 1,000	112	122

(Sahyager et al., 2015)

**EARLIER STAGE OF DIAGNOSIS**

**DECREASED MORTALITY**

**LIVES SAVED/POTENTIAL YEARS OF LIFE GAINED**

**COB: EFFICIENCY**

**SHARED-DECISION MAKING**

### Implementation

**Breast Cancer Awareness: Am I At Risk?**


Number 1 cancer diagnosis in females

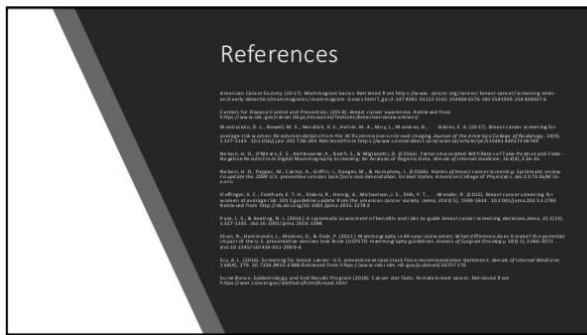
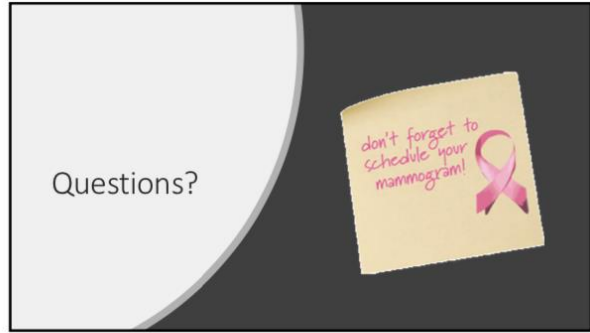
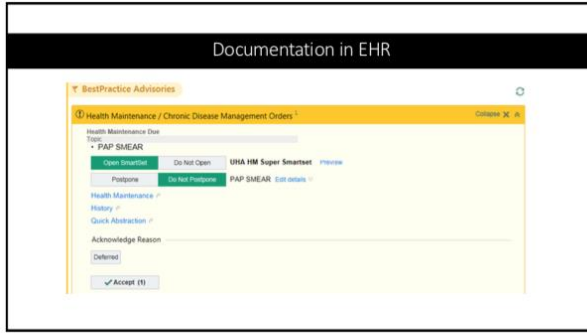
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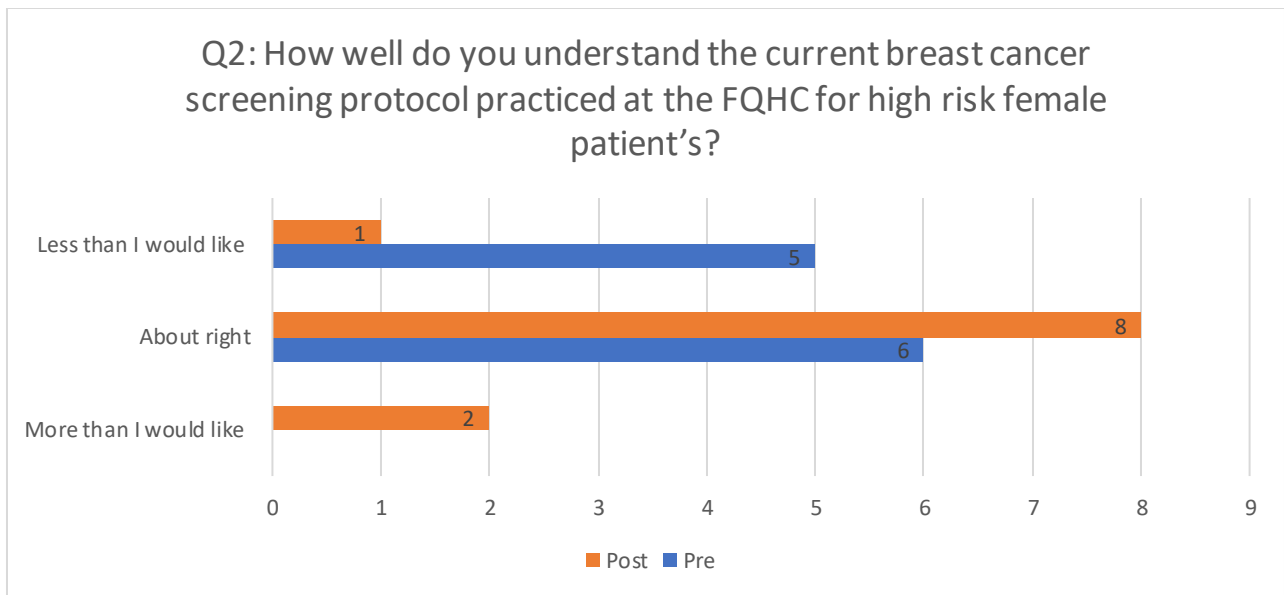
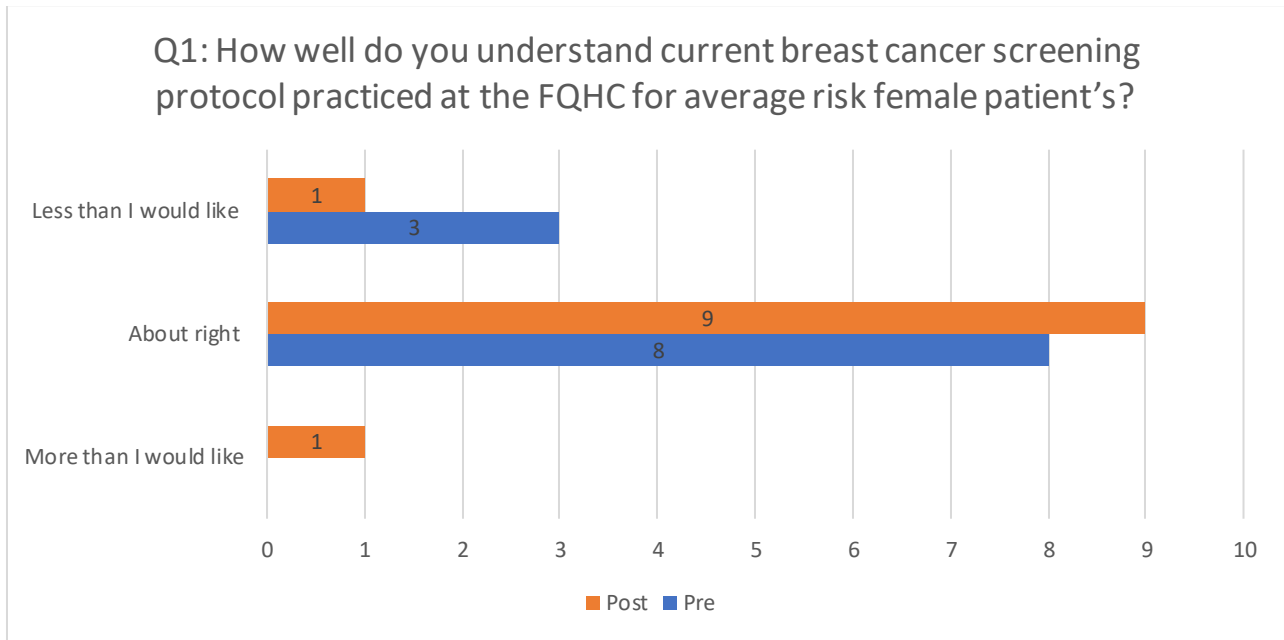
1. Nelson et al., 2012a; Nelson et al., 2012b; Sahyager et al., 2015; 2. International J. of Cancer; Nelson et al., 2012a; Sahyager et al., 2015; 3. Sahyager et al., 2015; Nelson et al., 2012a; Sahyager et al., 2015; 4. Nelson et al., 2012a; Sahyager et al., 2015

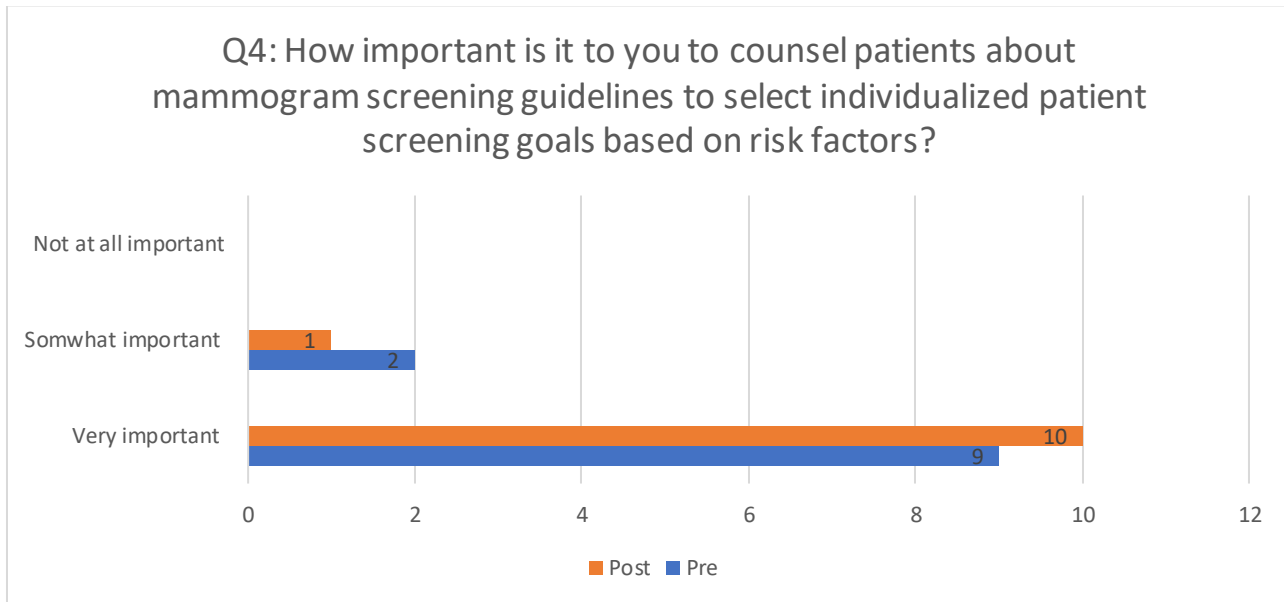
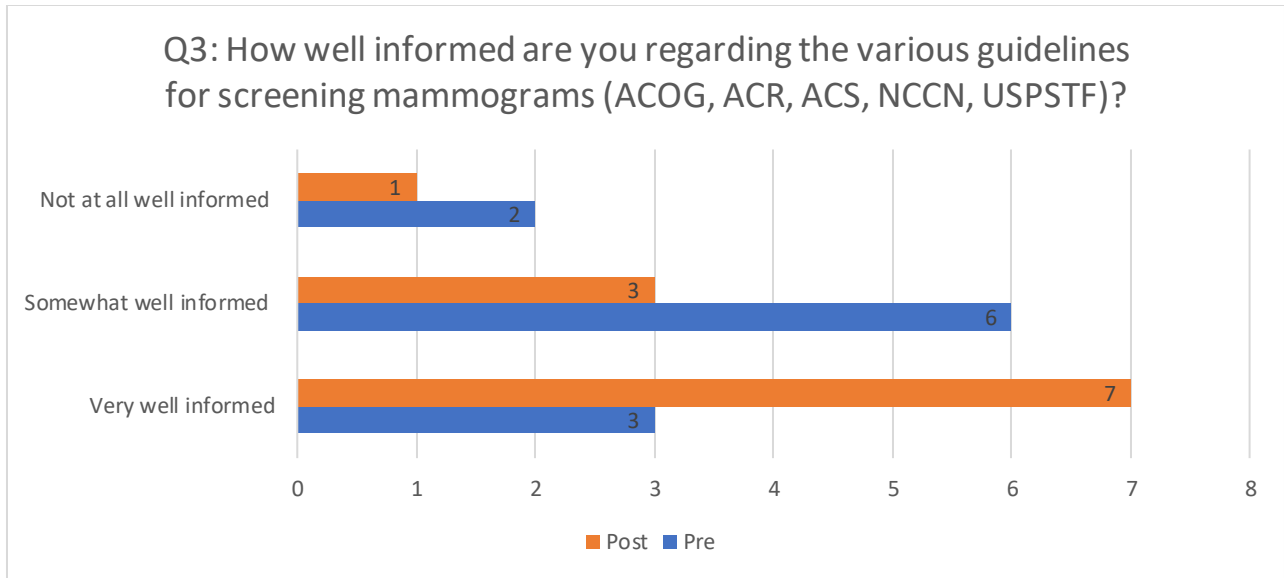




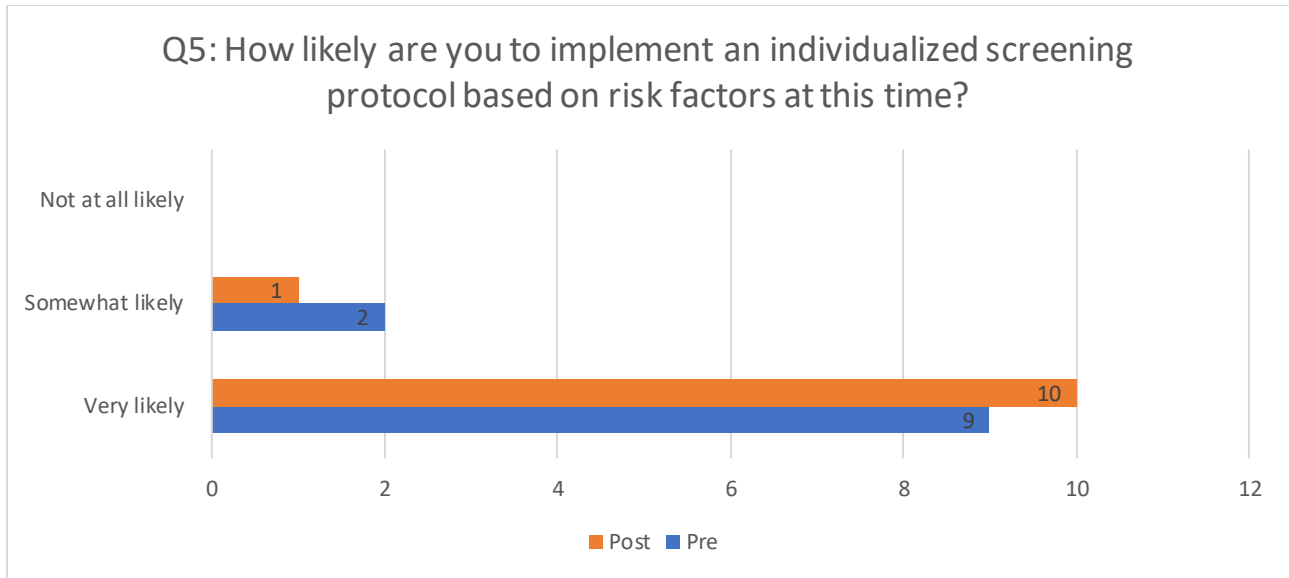
Appendix N: Survey Results

Pre/Post Knowledge Data



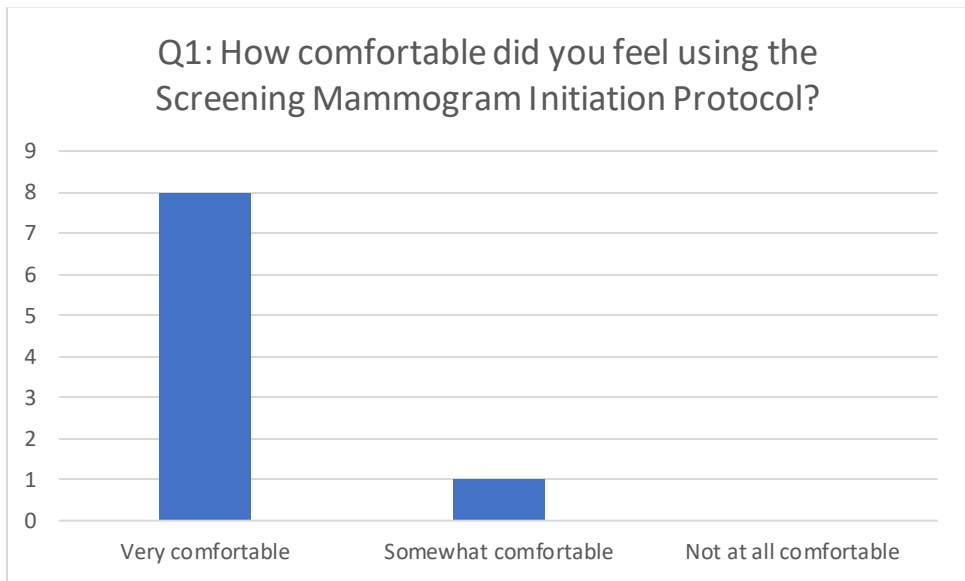


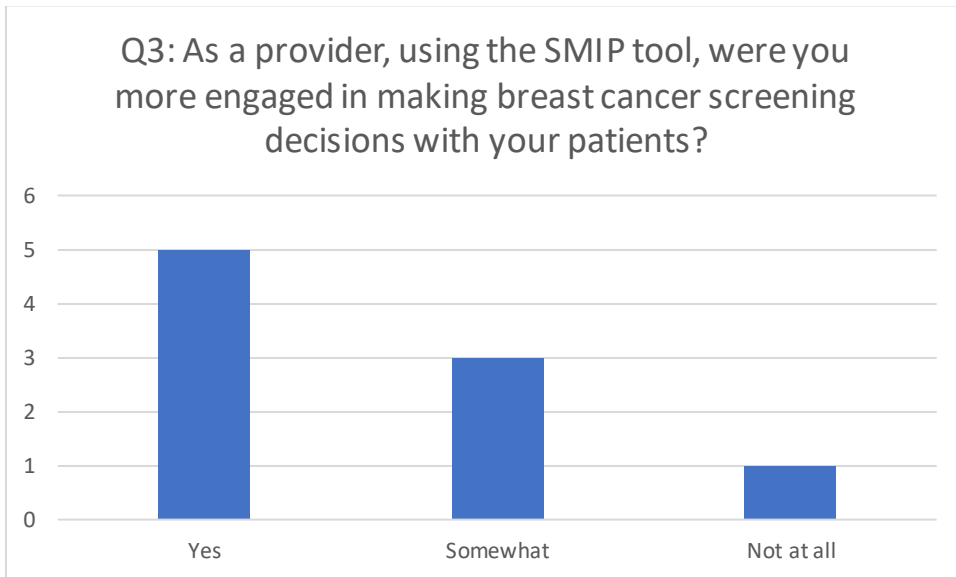
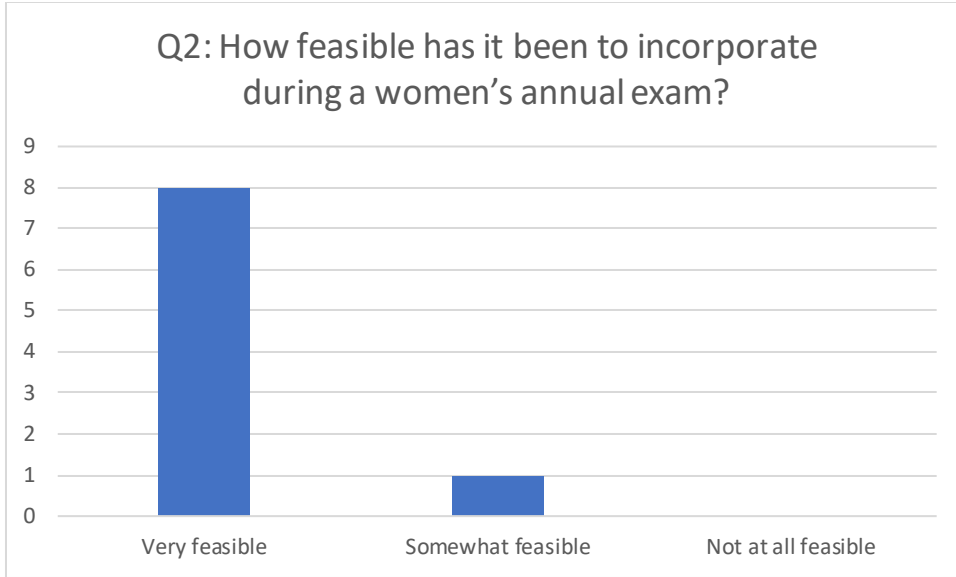


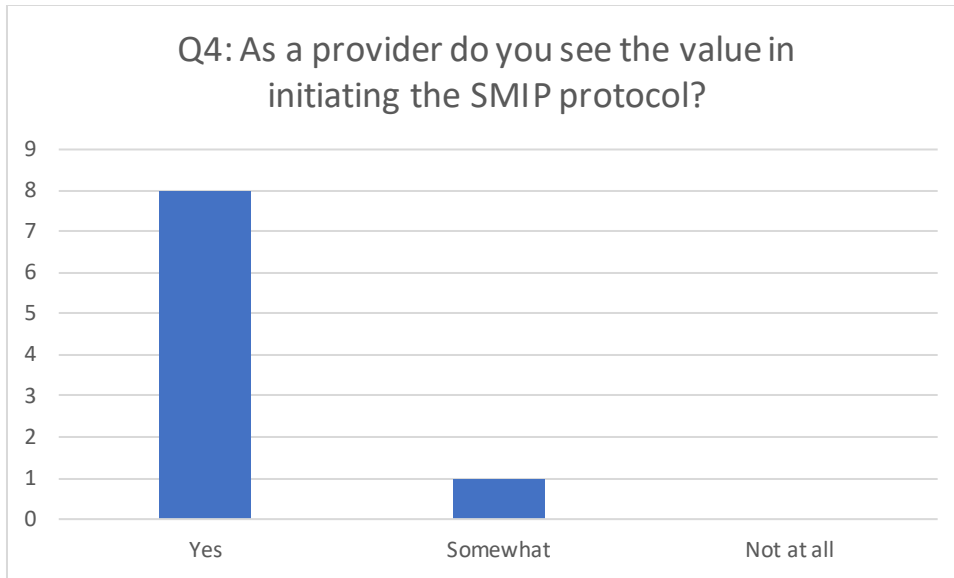


Feedback Post-Education
"Great work, I feel well informed after your lecture"
"Thanks :)"

Post Implementation Data







Feedback Post-Implementation
"I love laminated patient education tools!"
"Great job! Go dons!"
"Not sure if I'm really using this protocol, I use the USPSTF recommendations."
"Great work! Great protocol."

## Appendix O: I2I Tracking Results

9/10/17-9/10/18

Age	Number of eligible participants	Number referred by provider	Percent Screened (Referred/Eligible)
40-49	1334	863	64%
50-59	841	617	73%

Project Implementation: 2/6/19-4/10/19 (9 weeks)

Age	Number of eligible participants	Number referred by provider	Percent Screened (Referred/Eligible)
40-49	842	609	72%
50-59	555	445	80%

